

# **Inter-annual variability of carbon and water fluxes in Amazonian forest, Cerrado and pasture sites, as simulated by terrestrial biosphere models**

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1 **Abstract**

2 This study analyzes the inter-annual variability (IAV) of simulations of 21  
3 different land surface model formulations, driven by meteorological conditions  
4 measured at 8 flux towers, located in rain forest, forest-savanna ecotone and  
5 pasture sites in Amazonia, and one in savanna site in Southeastern Brazil. Annual  
6 totals of net ecosystem exchange (NEE) of carbon and evapotranspiration (ET),  
7 measured and simulated by each model for each site-year, were compared in  
8 terms of year-to-year variability and possible relation to climate drivers. Results  
9 have shown that most of models simulations for annual totals of NEE and ET, and  
10 IAV of these fluxes, are frequently different from measurements. The average of  
11 the model simulations of annual fluxes tend to respond to climatic drivers  
12 similarly to the observations, but with noticeable discrepancies. Annual  
13 measurements of NEE are negatively correlated to annual rainfall in the forest  
14 sites group. Although the ensemble of all models yields a similar result, only three  
15 model formulations reproduce a significant negative correlation of simulated NEE  
16 with rainfall. For the IAV of ET, tower measurements are controlled by annual  
17 variations of radiation and this feature is captured by the ensemble of the models,  
18 both at individual sites and when all forest sites are grouped. However, simulated  
19 ET values are also significantly correlated to the amount of precipitation in many  
20 models and in the model ensemble, while there is no significant correlation in the  
21 observations. In general, the surface models are able to reproduce the responses  
22 of fluxes to climatic drivers, but improvements are still needed to better capture  
23 their inter-annual variability.

24

25 **Keywords:** land-surface modeling; surface fluxes; Amazonia; inter-annual  
26 variability

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## 29 **1. Introduction**

30

31 The Earth's atmosphere is in permanent interaction with the terrestrial  
32 biosphere, forming a coupled system. This interaction plays a fundamental role in  
33 the climate system and in biogeochemical and hydrological cycles through the  
34 exchange of energy and mass (for example, water and carbon), between the  
35 vegetation and the atmospheric boundary layer. With the objective of  
36 understanding and predicting these exchanges and their influence in the climate  
37 system, the main focus of many studies of surface-atmosphere interaction is to  
38 quantify the fluxes over terrestrial biomes, either by direct measurements in flux  
39 towers or by parameterization using land-surface models. This has been one key  
40 objective of the Large Scale Biosphere-Atmosphere Program in Amazonia (LBA),  
41 which initiated the scientific infrastructure for long-term flux measurements in  
42 Brazilian Amazonia (Keller et al., 2004).

43 It is known that Amazonia plays a key role in the regional and global  
44 climate system, by largely contributing to global surface evapotranspiration (and  
45 therefore constituting a large source of latent heat) and substantially acting in the  
46 global carbon cycle. However the Amazon forest is currently facing risks due to  
47 deforestation pressure and climate change (Davidson et al., 2012; Malhi et al.,  
48 2007). On the one hand, evidence from observational and modeling studies (e.g.  
49 Betts et al., 1997; Nobre et al., 1991; Sampaio et al., 2007; von Randow et al.,

50 2004; Zhao et al., 2001) show that changes on surface cover may lead to a  
51 significant impact on regional and global climate. On the other hand, changes in  
52 rainfall regimes, especially in the dry season, may induce important alterations of  
53 the terrestrial ecosystem.

54 Carbon and water fluxes in the Amazonian ecosystem are expected to be  
55 coupled to regional climate conditions, but the dynamic mechanisms associated  
56 with their inter-annual variability (IAV) remain not fully understood (Nobre et al.,  
57 2009). Historical records of the Amazonian rivers show that IAV of precipitation  
58 in Amazonia is significant and dynamically linked with consistent anomalies in  
59 the surface water and energy balances over the basin and associated with the El  
60 Niño - Southern Oscillation phenomenon or oscillations in the Atlantic sea surface  
61 temperature, SST, (Fu et al., 2001; Marengo, 1992; Marengo et al., 1998; Poveda et  
62 al., 2006; Richey et al., 1989) . However, it must be emphasized that the combined  
63 tropical Pacific and Atlantic SST variability explains little more than 50% of inter-  
64 annual precipitation variance over Amazonia and not much is known about other  
65 mechanisms, internal or external to the region, responsible for the remaining  
66 unexplained IAV (Nobre et al., 2009).

67 One of the achievements of the LBA program was the establishment of a  
68 network of eddy covariance flux towers across Brazilian Amazonia, which are  
69 providing important knowledge about the characteristics of energy, water and  
70 carbon fluxes across the region (Araújo et al., 2002; Borma et al., 2009; Miller et  
71 al., 2004; Rocha et al., 2002; Rocha et al., 2004; Rocha et al., 2009; Sakai et al.,  
72 2004; Saleska et al., 2003; von Randow et al., 2004; Zeri and Sá, 2010). While land  
73 surface models have historically represented ecosystems of Amazonia as water-  
74 limited, predicting dry season declines in evapotranspiration and photosynthesis

75 (e. g. Costa and Foley, 1997; Nobre et al., 1991), measurements at the sites in  
76 Central Amazonia appear to have little decline in evapotranspiration. Also, forest  
77 photosynthesis appear unaffected by the dry season, even showing some  
78 enhancement related to higher available solar energy (Restrepo-Coupe et al.,  
79 2012; Rocha et al., 2009), as many of these forests tend to have sufficient dry  
80 season water supply in most years because of the relatively high water holding  
81 capacity of the soils and the ability of deep root systems to access water down to  
82 10+ m deep (Bruno et al., 2006; Lola da Costa et al., 2010; Markewitz et al., 2010;  
83 Negrón-Juárez et al., 2007). However, the sites in Southern Amazonia, with semi-  
84 deciduous forests or transitional forests to Cerrado vegetation (Brazilian  
85 savanna) and deforested areas have shown declines in dry season fluxes and clear  
86 indications of seasonal water stress, also related to more intense dry season  
87 climate at these sites (Rocha et al., 2009; von Randow et al., 2004).

88         By combining information from flux tower observations and terrestrial  
89 process-based models, we can improve our knowledge about the functioning of  
90 the ecosystems, interaction with the climate system and possibly identify missing  
91 mechanisms that could improve model simulations (Keenan et al., 2012).

92 Terrestrial ecosystem models are important tools to aid studies of biosphere-  
93 atmosphere interaction and responses of ecosystem processes to hypothetical  
94 climate conditions. Processes are represented in models of different complexities,  
95 ranging from a simple representation of the transfer of mass and energy in the  
96 soil-plant-atmosphere interface, to complex versions that simulate changes in  
97 composition, structure and function of vegetation and soil biogeochemistry.

98

99           The proportions of IAV directly related to variability in climate drivers  
100 remain as an open question and a detailed assessment of the relative roles of  
101 climate and functional change on the interannual variability of CO<sub>2</sub> flux across a  
102 wide range of sites and climate zones is still needed. The IAV of carbon exchange  
103 has been found to correlate climatic drivers poorly (Polley et al., 2010;  
104 Richardson et al., 2007) or strongly (Desai, 2010; Yuan et al., 2009). The study of  
105 the relations of climatic variables and fluxes over the Amazon region may provide  
106 important new knowledge and reduce the uncertainty about the responses of the  
107 vegetation to natural climate variations and possible future extreme conditions.

108           The LBA-DMIP project was designed to synthesize and compare a suite of  
109 simulations of land surface and terrestrial ecosystem models in 8 flux towers of  
110 the LBA program, covering tropical rainforest, Cerrado and pasture sites  
111 (Gonçalves et al., 2013, this issue). In this work we analyze the inter-annual  
112 variability of the fluxes observed and simulated by the suite of participating  
113 models of the LBA-DMIP project, at forest, Cerrado and pasture sites in Amazonia,  
114 with the objective of giving insight into the following questions: How do carbon  
115 and water exchange vary from year to year and how do the models simulate this  
116 IAV in Amazonian sites? Are differences between simulations and observations  
117 related to specific sites or vegetation cover? The IAV of observed and simulated  
118 fluxes is mainly related to which climatic drivers?

119

120

121 **2. Methods**

122

123           Modeled and observed values at the 8 sites listed in Table 1 were obtained  
124 through the LBA-DMIP project (<http://www.climatemodeling.org/lba-mip/>). On  
125 the scope of the LBA-DMIP project, data collected at 8 flux towers were  
126 consistently checked and gap-filled to drive and validate a suite of land-surface  
127 and terrestrial biosphere models. Here we provide a brief description of the  
128 methods used, while details of the site locations, data processing and  
129 characteristics of all the participating models are presented by Gonçalves et al.  
130 (2013).

131           The sites include evergreen forests (K34, K67 and K83), a semi-deciduous  
132 broadleaf forest (RJA), a deciduous broadleaf forest (forest-savanna ecotone,  
133 BAN), a savanna biome (PDG), and two pasture sites (FNS and K77). Seven of  
134 eight sites are in the Brazilian Amazon, while a savanna site in the state of São  
135 Paulo was also included. The meteorological forcing data collected at these sites  
136 were gap-filled according to a common protocol, providing continuous dataset for  
137 driving models. Also, carbon and latent heat fluxes collected using the eddy-  
138 covariance method were accumulated into annual totals of net ecosystem  
139 exchange (NEE) of carbon and evapotranspiration (ET) and used to infer the  
140 magnitude of inter-annual variability of carbon and water exchange.

141           The suite of model formulations includes 9 dynamic vegetation models and  
142 8 land surface models (that do not simulate dynamic vegetation but simulate  
143 carbon and water exchange at time scales varying from hourly to monthly) that  
144 were driven by the standardized meteorological forcing data from the flux towers  
145 (Gonçalves et al., 2013). Additionally, variant versions of some models were run  
146 (such as, for example, 5 different models derived using the Simple Biosphere  
147 Model, SiB (Sellers et al., 1986) as their basis), resulting in a total of 21 different

148 model formulations reported in this intercomparison (Table 2). Table 2 includes  
149 model numbers used in figures 1 and 2, presented in the results section.

150 All simulations were performed using standard versions of the models,  
151 using the gap-filled meteorological forcing data at each site and locally observed  
152 values of soil texture and vegetation characteristics, where needed, according to  
153 the standard protocol described in Appendix 1 of Gonçalves et al. (2013). No  
154 parameter optimization or model calibration was performed prior to the  
155 intercomparison runs.

156 Due to lack of measurements of CO<sub>2</sub> storage within the canopy in some  
157 locations, slightly different approaches were used to infer NEE from the turbulent  
158 carbon fluxes measured ( $F_c$ ) at the sites. Whenever available, the canopy storage  
159 flux ( $S_c$ ) was added to  $F_c$  to infer the biotic NEE. During instrument malfunctions,  
160  $S_c$  was modeled at RJA and K34, following Iwata et al. (2005). For sites with lower  
161 biomass and where the full instrumentation was not available (FNS, BAN and  
162 PDG), we assumed that annual NEE is equivalent to annual totals of  $F_c$ .

163 The correction of nighttime NEE values for periods of low turbulent mixing  
164 is also a complex issue and is probably the biggest cause of uncertainties in the  
165 accounting of carbon exchange using the eddy covariance technique in Amazonian  
166 sites (Araújo et al., 2002; Kruijt et al., 2004; Miller et al., 2004). In this paper, we  
167 maintain the different approaches for nighttime treatment at each site as reported  
168 in their reference papers (Table 1).

169 Also, evapotranspiration data (estimated from latent heat flux  
170 measurements) is likely partially underestimated in some sites, either due to  
171 physical limitations of the instrumentation (Massman and Lee, 2002) or losses on  
172 scales of the order of more than 30 min. Studies have shown that the atmospheric

173 boundary layer in Amazonia (von Randow et al., 2008; von Randow et al., 2002)  
174 frequently presents slowly moving large eddies caused by strong convective  
175 motions and/or local circulations induced by surface heterogeneity, and  
176 turbulence is organized into “turbulent organized structures” (Foken, 2008;  
177 Kanda et al., 2004) which do not move with the wind fast enough to be adequately  
178 sampled in the time scales usually used in eddy covariance.

179         When necessary, the evapotranspiration fluxes were corrected to achieve  
180 energy balance closure maintaining the Bowen ratio as measured by the eddy flux  
181 (von Randow et al., 2004). This approach is preferred when it is likely that the  
182 underestimation of the fluxes is caused not by the instrument limitations but  
183 because of a failure to capture low-frequency transport or advection or from a  
184 mismatch between footprints of the flux measurements compared to that of the  
185 radiation measurements. From the previous studies in Amazonia (von Randow et  
186 al. 2004; Finnigan et al. 2003), we concluded that this approach is appropriate.

187         To evaluate the IAV of observed and modeled fluxes, sites were separated  
188 in two groups: rainforest sites (K34, K67, K83 and RJA) and Cerrado/pasture sites  
189 (BAN, K77, FNS and PDG), resulting in a total of 13 site-years available in the  
190 rainforest group and 14 site-years in the Cerrado/pasture group. Although  
191 grouping of the sites into broad categories may augment the spurious variability  
192 in each group, this classification is necessary because the dataset is limited for a  
193 more detailed analysis. Still, figures in the next section are presented showing  
194 each site in different colors. Model 'biases' were then calculated as the difference  
195 between annual simulated flux and annual measured flux at each site-year.

196         Finally, to analyze possible drivers of IAV at the sites, we investigate  
197 possible relations between the fluxes (as measured or modeled at each site-year)

198 and climate variables  $R_n$ ,  $P$  and annual values of Budyko's dryness index (D) given  
 199 by

$$200 \quad D = R_n / \lambda P \quad (1)$$

201 where  $R_n$  is the annual net radiation in MJ/m<sup>2</sup>,  $P$  is the annual precipitation in mm,  
 202 and  $\lambda$  (= 2.45 MJ / kg) is the latent heat of vaporization.

203

204 Table 1. List of eddy covariance tower sites\* used in the LBA-MIP project.

205

Site short code	Site Name	Longitude [deg]	Latitude [deg]	Elev. [m]	Biome Type	Data availability
K34	Manaus Km34	-60.21	-02.61	130	Tropical rainforest	2002 - 2005
K67	Santarém Km67	-54.96	-02.85	130	Tropical rainforest	2002 - 2004
K83	Santarém Km83	-54.97	-03.02	130	Tropical rainforest	2001 - 2003
RJA	Reserva Jarú	-61.93	-10.08	191	Tropical rainforest	2000 - 2002
BAN	Javaes River - Bananal Island	-50.16	-09.82	120	Forest-Savanna ecotone	2004 - 2006
K77	Santarém Km77	-54.89	-03.02	130	Pasture / Agriculture	2001 - 2005
FNS	Fazenda Nossa Senhora	-62.36	-10.76	306	Pasture	1999 - 2001
PDG	Reserva Pe-de-Gigante	-47.65	-21.62	690	Savanna	2001 - 2003

206

207 \* Principle Investigators and data references for these tower sites are as follows:

208 K34: Manzi, A., Nobre, A. (INPA, Brazil) (Araújo et al., 2002)

209 K67: Wofsy, S. (Harvard University, USA), Saleska, S. (UofA, USA), Camargo, A. CENA/USP, Brazil) (Hutyra et al., 2007; Saleska et al., 2003)

210 K83: Goulden M. (UC Irvine, USA), Miller, S. (SUNY, Albany, USA), da Rocha, H. (USP, Brazil). (Goulden et al., 2004; Miller et al., 2004; Rocha et al., 2004)

211 K77: Fitzjarrald, D. (SUNY, Albany, USA) (Sakai et al., 2004)

212 RJA: Manzi, A. (INPA, Brasil), Aguiar, R. (UNIR, Brazil.) (Kruijt et al., 2004; von Randow et al., 2004)

213 FNS: Waterloo, M. (Vrije Universiteit Amsterdam, The Netherlands), Manzi, A. (INPA, Brazil) (von Randow et al., 2004)

214 BAN: da Rocha, H. (USP, Brazil) (Borma et al., 2009)

215 PDG: da Rocha, H. (USP, Brazil) (Rocha et al., 2002)

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221 Table 2. Summary of models and its variants used.

Model #	Model Acronym	Simulates energy and water fluxes	Simulates carbon fluxes	Simulates dynamic vegetation	Reference
1	Biome-BGC	X	X		Thornton et al. (2002)
2	CLM3.5-DGVM	X	X	X	Levis et al. (2004)
3	CLM3.5	X	X		Oleson et al. (2008)
4	CLM4CN	X	X		Thornton et al. (2007)
5	DLEM	X	X	X	Tian et al. (2010)
6	ISAM	X	X		Yang et al. (2009)
7	JULES	X	X	X	Clark et al. (2011)
8	LEAF2-HYDRO	X			Miguez-Macho et al. (2007)
9	Noah-MP	X	X	X	Niu et al. (2011)
10	ORCHIDEE	X	X	X	Krinner et al. (2005)
11	SSiB2	X	X		Zhan et al. (2003)
12	SiB3	X	X		Baker et al. (2008)
13	SiBCASA	X	X		Schaefer et al. (2008)
14	CN-CLASS	X	X	X	Arain et al. (2006)
15	ED2	X	X	X	Medvigy et al. (2009)
16	PT-JPL	X			Fisher et al. (2008)
17	H-TESSSEL	X			Balsamo et al. (2009)
18	IBIS	X	X	X	Kucharik et al. (2000)
19	LPJ	X	X	X	Sitch et al. (2003)
20	SiB2	X	X		Sellers et al. (1996)
21	SiB2(modified)	X	X		Rocha et al. (2013, in prep.)

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### 225 3. Results

226

#### 227 3.1. Inter-annual variability and comparison to observations

228

229 After computing the annual totals of NEE (tonC / ha) and ET (mm) as  
 230 measured and simulated by each model in each site-year, we separated the results  
 231 into categories "Forest sites" and "Cerrado and pasture sites", and built boxplots  
 232 for each model formulation, which are display in Fig. 1.

233 The shaded areas in Fig. 1 show the inter-quartile range of observations at  
 234 the forest sites (Fig. 1a) and at the Cerrado and pasture sites (Fig. 1b), which, for

235 the forest category, span annual NEE values from -2.5 ton C / ha (negative values  
236 represent net sink of carbon by ecosystem) in the first quartile, to nearly null (no  
237 net sink or source at some site-years) in the third quartile. For the Cerrado and  
238 pasture sites, the observed inter-quartile range is from -9 to -5 ton C / ha.

239 Distributions of annual modeled NEE show that most models have lower  
240 IAV than observed (Fig. 1). Also, some models have large bias compared to the  
241 range of observations, especially at Cerrado and pasture sites. It should be noted,  
242 however, that these biases may be partly due to the tendency of models generally  
243 being held to conserve energy, moisture and carbon balance, and eddy covariance  
244 flux measurements being largely prone to uncertainties in those balances (Araújo  
245 et al., 2010; Miller et al., 2004).

246 The observed and modeled IAV of evapotranspiration is presented in Fig. 2.  
247 For ET in forest sites (Fig. 2a), some models appear to present similar ranges of  
248 IAV as measured in the flux towers, but the majority of the models underestimate  
249 the annual ET measured. For the Cerrado and pasture sites (Fig. 2b), the  
250 performance is slightly better: most models simulate IAV similar to the range  
251 observed, and, although some are also underestimating the fluxes, they agree  
252 better with the observed fluxes for this category than for the forests sites.

253 To identify with better clarity the differences between model simulations  
254 and flux measurements, we present in Fig. 3 the distribution of model bias for  
255 each site. Model bias, in this context, is calculated as the difference between  
256 annual fluxes simulated by each model and measured at the towers. Note that, in  
257 previous figures, distributions were aggregating data for all "forest" or "Cerrado /  
258 pasture" sites, and showing the variability of how each model simulated the fluxes

259 in these categories. In Fig. 3, the distributions aggregate all model simulations in  
260 one site.

261 Results in Fig. 3a show that, for the forest sites, the model bias is in general  
262 normally distributed from negative to positive values, although slightly skewed to  
263 positive values. Fig. 3b shows, for the Cerrado and pasture sites, that the models  
264 generally simulate higher NEE than observed, or, rather, due to most towers  
265 measuring high carbon uptake (therefore strongly negative annual NEE), the  
266 difference between model and observations is frequently positive. Figs. 3c and 3d  
267 show that, for evapotranspiration, the model biases distributions are wider and  
268 more variable, with considerable positive values at some sites and negative values  
269 at others. As noted from Fig. 2, there is a tendency of underestimation of annual  
270 ET in the forest sites, and we can see that this is also the case for the Cerrado sites.

271

### 272 *3.2 Relations with climate drivers*

273

274 Studies of responses of carbon and water fluxes to climate drivers are  
275 fundamentally important to understand the interaction between the terrestrial  
276 biosphere and the atmosphere, and possible climate-carbon cycle feedback.  
277 Recent studies in Amazonia have addressed aspects of seasonal variations of  
278 carbon and water fluxes and controls of radiation or precipitation (Costa et al.,  
279 2010; Restrepo-Coupe et al., 2012; Rocha et al., 2009), but there is still little  
280 information available about variability on longer time scales.

281 In Figs. 4-7 general relations between the annual values of carbon and  
282 water exchange with climate variables are presented, as measured at each tower  
283 and as an ensemble mean of all model simulations at each site-year. In these plots,

284 we again aggregate all site-years of two categories ("forest sites" and "Cerrado  
285 and pasture sites") in one plot, attempting to enlighten general main drivers of  
286 variability of annual fluxes.

287 Figs. 4a-f shows the NEE against annual average net radiation ( $R_n$ ), total  
288 precipitation ( $P$ ) and the Dryness index ( $D$ ) for the forest sites. There are  
289 similarities in the general responses of the model simulations to the climate  
290 variables as to what is observed in the towers, but also some differences appear.  
291 The magnitude of variability among the sites is bigger than the variability of  
292 model simulations (note the scale of the y-axis in the top panels is bigger than in  
293 the bottom panels). Also, there appears to be little relation of the observed fluxes  
294 with  $R_n$  (Fig. 4a), but the models are clearly radiation-controlled (Fig. 4d). On the  
295 other hand, it is possible to see that the sites subject to lesser annual rainfall have  
296 lower uptake (and some site-years, in fact, resulted in a source of carbon to the  
297 atmosphere) than others. This results in a pattern of higher net uptake in site-  
298 years with higher annual rainfall or lesser  $D$  (Figs. 4b-c), which is captured by the  
299 models (Figs. 4e-f).

300 Figs. 5a-f shows the NEE as measured and modeled at the Cerrado and  
301 pasture sites, in relation to the climate variables. In this category, it is hard to see  
302 a clear relation with any of the climate variables. It is likely that this grouping of  
303 sites with very different vegetation covers and limited dataset is not suitable to  
304 the analysis proposed here.

305 Figs. 6a-f show the annual evapotranspiration in the forest sites. We can  
306 depict that  $R_n$  largely controls annual ET (Fig. 6a), and this pattern is well  
307 captured by the models for individual sites (Fig. 6d), but without a significant  
308 correlation when all forest sites are grouped (see later, in Table 3). This result

309 corroborates previous studies that showed that there is a strong control of  $R_n$  on  
310 ET on seasonal scales (Costa et al., 2010; Fisher et al., 2009; Rocha et al., 2009).  
311 There is weak relation with precipitation and with the dryness index  $D$ , although  
312 the models are sensitive to these variables (Figs. 6b-c and e-f).

313 Finally, Figs. 7a-f shows the annual ET in the Cerrado and pasture sites.  
314 The model simulations appear to have a general relation with the climate  
315 variables, but this is not so clearly measured at the sites (Figs 7a-c). It is possible  
316 that this is also related to the aggregation of different vegetation covers in the  
317 same category.

318 To give better insight into how individual model simulations are related to  
319 the environmental drivers, table 3 presents the correlation coefficients between  
320 the environmental variables and the fluxes, considering the forests group. Only  
321 values with significant correlations (p-value < 0.05) are presented. The  
322 correlations for measurements of  $R_n \times ET$  and  $P \times NEE$  corroborate the previous  
323 results, showing correlations of 0.87 and -0.63, respectively. As the dryness index  
324  $D$  is also inversely related to the amount of precipitation, a significant positive  
325 correlation is also observed for  $D \times NEE$ . The results for individual models show  
326 that only three model formulations reproduce a significant negative correlation of  
327 NEE with rainfall, but the ensemble of all models result in a correlation similar to  
328 the observations. It is also interesting to note that most of the models and the  
329 average of models yield ET fluxes correlated to  $P$ , but the tower measurements  
330 resulted in annual ET only significantly correlated to the amount of radiation, and  
331 not to annual rainfall.

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334 **Table 3.** Correlation coefficients between possible environmental drivers and  
 335 fluxes (annual totals), as simulated by the suite of surface models of LBA-DMIP in  
 336 the forest sites. Only values with significant correlations (p-value < 0.05) are  
 337 shown.

<b>Model Acronym</b>	<b>Rn × NEE</b>	<b>Rn × ET</b>	<b>Precip × NEE</b>	<b>Precip × ET</b>	<b>D × NEE</b>	<b>D × ET</b>
Biome-BGC				0.56		
CLM3.5-DGVM						
CLM3.5				0.67		-0.70
CLM4CN						
CN-CLASS				0.68		-0.64
DLEM				0.62		-0.63
ED2						-0.64
H-TESSSEL				0.72		-0.70
IBIS			-0.71		0.56	-0.60
ISAM	-0.75					
JULES			-0.75	0.74	0.70	-0.75
LEAF2-HYDRO	0.68	0.56				
LPJ				0.61		-0.62
Noah-MP						
PT-JPL				0.71		-0.71
ORCHIDEE						
SiB2				0.62		-0.67
SiB2(modif.)	-0.63		-0.67	0.71		-0.56
SiB3				0.81		-0.75
SiBCASA						
SSiB2	-0.75					
<b>Average of Models</b>	<b>-0.55</b>	<b>0.83</b>	<b>-0.55</b>	<b>0.67</b>		
<b>Observations</b>		<b>0.87</b>	<b>-0.63</b>		<b>0.71</b>	

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#### 341 **4. Discussion and concluding remarks**

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343           This study analyzes simulations of 21 different land surface / terrestrial  
344 ecosystem model formulations, driven by meteorological conditions measured at  
345 8 flux towers that were gathered in the scope the LBA-DMIP project (Gonçalves et  
346 al., 2013). The results show that the magnitude of carbon and water exchange and  
347 the IAV as simulated by most of the models is different than what is observed in  
348 the towers. However, direct comparisons between model simulations and eddy  
349 covariance flux measurements in complex surfaces should always be made with  
350 caution.

351           It is known that eddy flux measurements are inherently uncertain due to  
352 different sources of errors, such as random errors associated with the stochastic  
353 nature of turbulence, and systematic errors caused by inadequate system design  
354 or violation of assumptions in the methodology (as, e.g., low turbulence  
355 conditions, cold-air drainage, gravity waves or other 3D flow regimes). These  
356 errors have been studied in the flux sites by the different research teams  
357 responsible for these sites (e. g. Araújo et al., 2002; Kruijt et al., 2004; Miller et al.,  
358 2004; von Randow et al., 2004; Zeri and Sá, 2011), but full accounting of  
359 uncertainties at all the sites using a consistent methodology still remains to be  
360 quantified.

361           In general, processes and environmental factors governing inter-annual  
362 variability in NEE are also not well understood, largely because NEE is the  
363 difference between two large quantities, the Gross Primary Production (GPP) and  
364 the Terrestrial Ecosystem Respiration (TER), each with different major climatic

365 drivers (and responding to processes on different scales) and different biotic  
366 controls.

367 Our estimates of the magnitude of IAV, represented by the inter-quartile  
368 range of observed annual fluxes, show that the variability of NEE is of the same  
369 order of the mean annual fluxes measured at the sites, and about 10 - 25% of the  
370 mean, for the evapotranspiration. These results are similar to the results obtained  
371 by Keenan et al. (2012), who analyzed the IAV at 11 long-term flux sites in North  
372 America. The authors also obtained that a suite of 16 terrestrial biosphere models  
373 have difficulty in reproducing the IAV, possibly because of misrepresentation of  
374 spring canopy phenology, soil thaw and snowpack melting, and lagged response  
375 to extreme climatic events.

376 To gain insight about the main climatic drivers that affect carbon and  
377 water exchange in the different sites and biomes, we analyzed in Figs 4 - 7 the  
378 relations between annual NEE and ET with climatic drivers net radiation,  
379 precipitation and dryness index, as measured in each tower or computed by an  
380 average of all model simulations in each site-year. However, it should be  
381 acknowledged that the fluxes unexplained by the climate factors may be primarily  
382 driven by non-climate factors such as stand age, disturbance history, species  
383 composition, or canopy leaf area index, reflecting local variation in nutrient and  
384 water availability. While it is not possible to develop a predictive relationship of  
385 the annual fluxes with these drivers, our results are useful to evaluate the relative  
386 importance of particular climatic factors at individual sites.

387 Other studies have analyzed possible climatic and non-climatic drivers of  
388 NEE and ET at terrestrial ecosystems. (Jung et al., 2011; Law et al., 2002; Yi et al.,  
389 2010). In the study of Jung et al. (2011), worldwide tower flux measurements

390 were scaled up using a machine learning technique providing global grid products  
391 of energy fluxes and NEE and its components Gross Primary Productivity (GPP)  
392 and Terrestrial Ecosystem Respiration (TER), and they found that the IAV of NEE  
393 is dominated by variability in GPP for the majority of the land surface, but not for  
394 Amazonian region, where the dominant variability comes from IAV of ecosystem  
395 respiration. Then, analyzing the IAV of TER, the authors found that it is more  
396 strongly correlated with precipitation than with temperature, what also  
397 corroborates our results. This may be related to soil respiration in tropical forests  
398 being more limited by the moisture content of the soil litter than by its  
399 temperature.

400         The correlation coefficients of environmental variables and fluxes  
401 simulated by individual models or measured at the forest sites, presented in Table  
402 3, indicate that the negative correlation between NEE and annual rainfall is  
403 significant in this dataset. While the average of the models also promote a similar  
404 correlation, only three of the individual models show significant values. For the  
405 ET fluxes, the situation is reversed: measurements do not show any significant  
406 correlation with annual precipitation according to the gathered dataset, but the  
407 majority of the simulations of ET is correlated to precipitation.

408         If we hypothesize that the general characteristics of interaction between  
409 the tropical forests and climate variables will be maintained in the future, our  
410 findings suggest that future climate scenarios of decreases in precipitation could  
411 weaken terrestrial CO<sub>2</sub> uptake in Amazonia. The surface models are able to  
412 reproduce, to some extent, these general responses, but improvements are still  
413 needed to better capture the inter-annual variability characteristics.

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418

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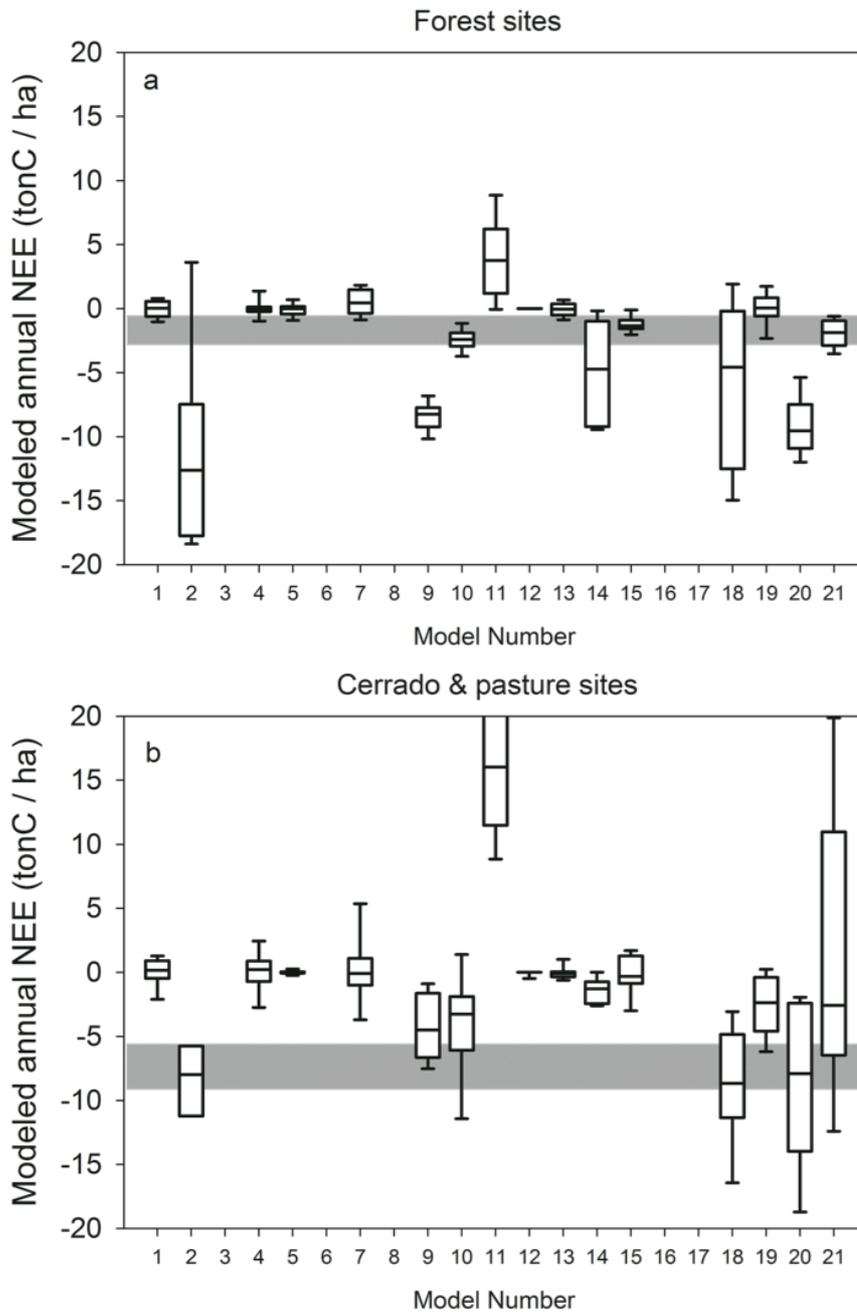
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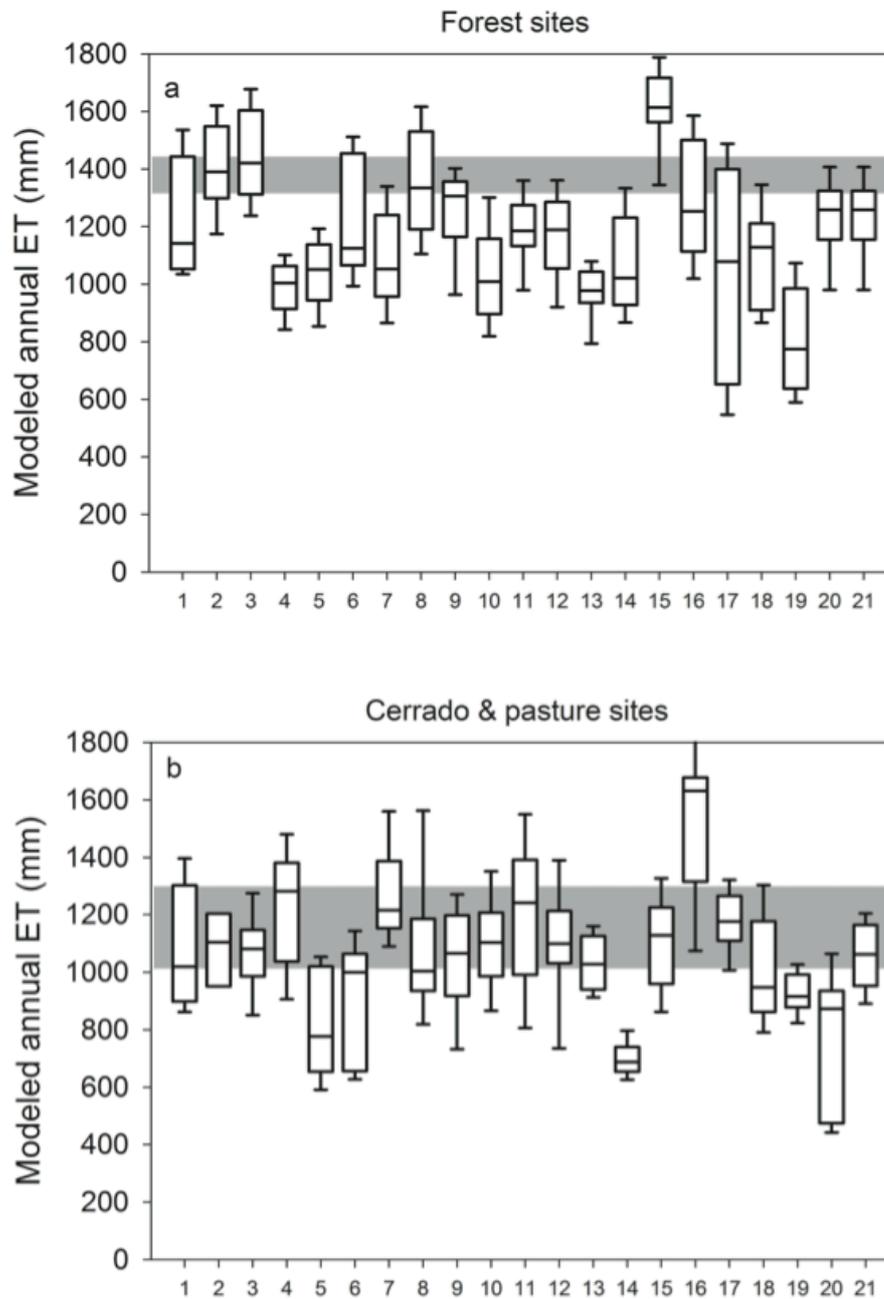
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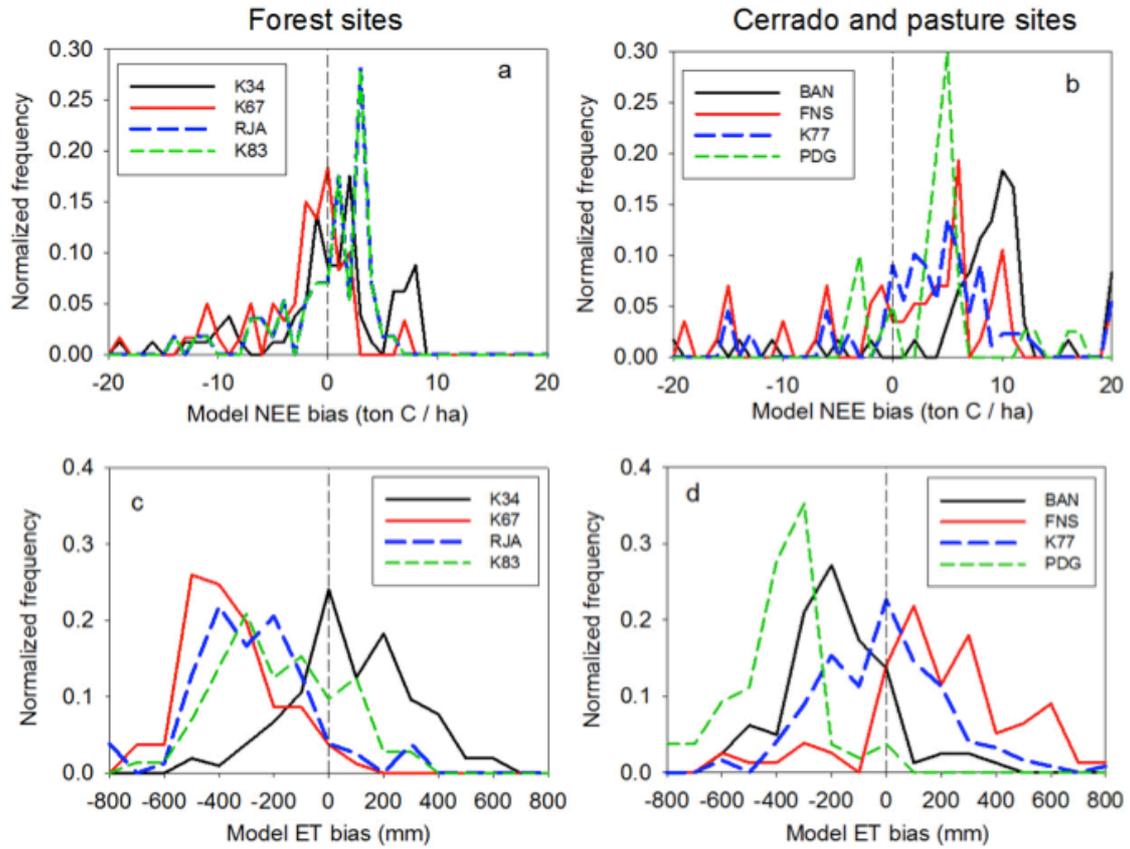
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755 **Fig. 1.** Boxplots of annual Net Ecosystem Exchange (NEE) simulated at the sites  
 756 listed in table 1 with the suite of terrestrial biosphere models listed in table 2.  
 757 Each boxplot is a distribution of the annual site-year totals simulated by one  
 758 particular model, for (a) forest sites (K34, K67, K83 and RJA); and (b) cerrado or  
 759 pasture sites (BAN, FNS, K77, PDG). Shaded areas show the inter-quartile range of  
 760 observations at the sites.



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762 **Fig. 2.** Same as Fig. 1, but for annual Evapotranspiration (ET) simulated at the  
 763 sites listed in table 1 with the suite of terrestrial biosphere models listed in table  
 764 2.

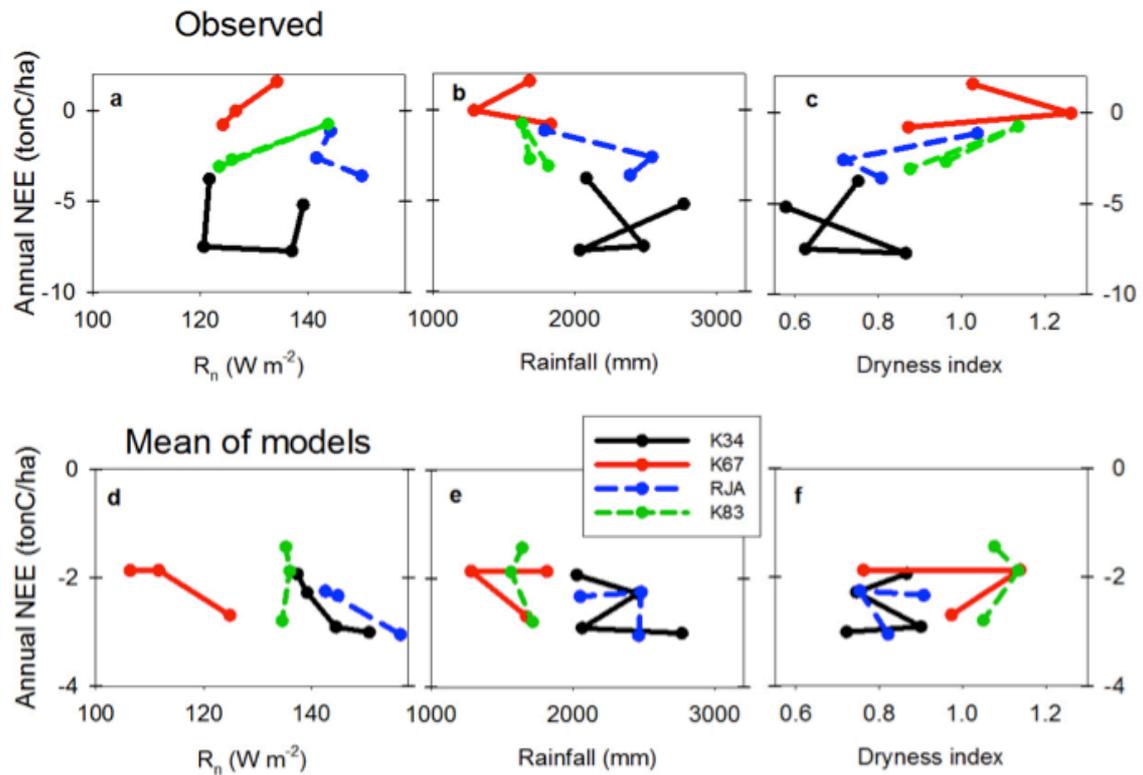


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767 **Fig. 3.** Frequency distribution of model bias (modeled - measured) over all years  
 768 at the LBA-DMIP sites, for (a) NEE at forest sites; (b) NEE at Cerrado and pasture  
 769 sites; (c) Evapotranspiration at forest sites and (d) Evapotranspiration at Cerrado  
 770 and pasture sites.

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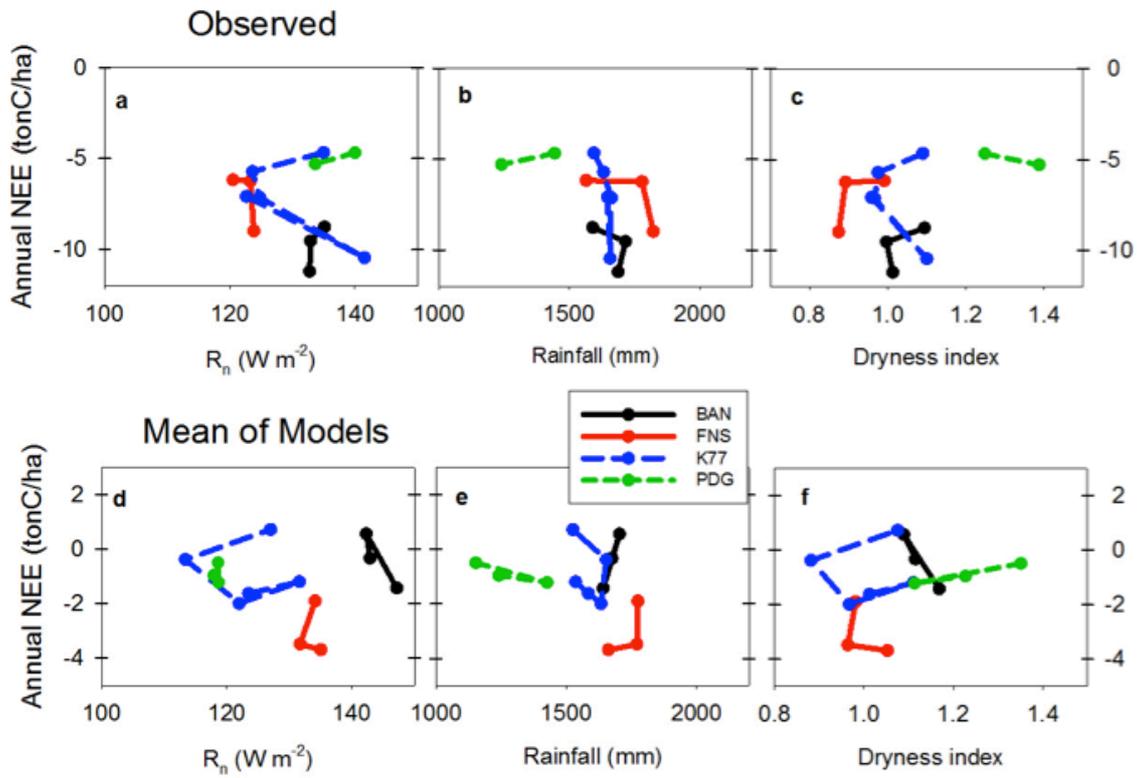
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775 **Fig. 4.** Annual Net Ecosystem Exchange versus annual averages (or sums, in case  
 776 of annual rainfall) of climate drivers as observed (top panels) or averaged over  
 777 the suite of LBA-DMIP participating models (bottom panels), at the forest sites  
 778 K34, K67, K83 and RJA.

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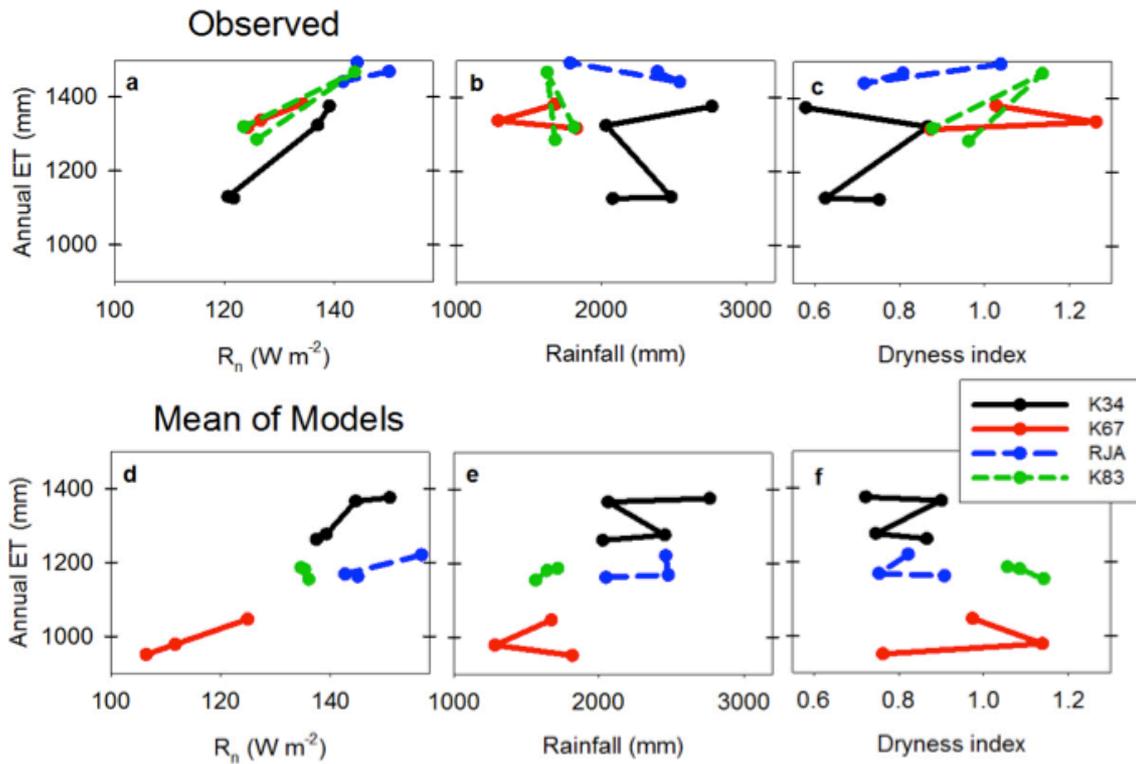
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784 **Fig. 5.** Same as in Fig. 4, but for the Cerrado sites BAN and PDG, and for the  
785 pasture sites FNS and K77.

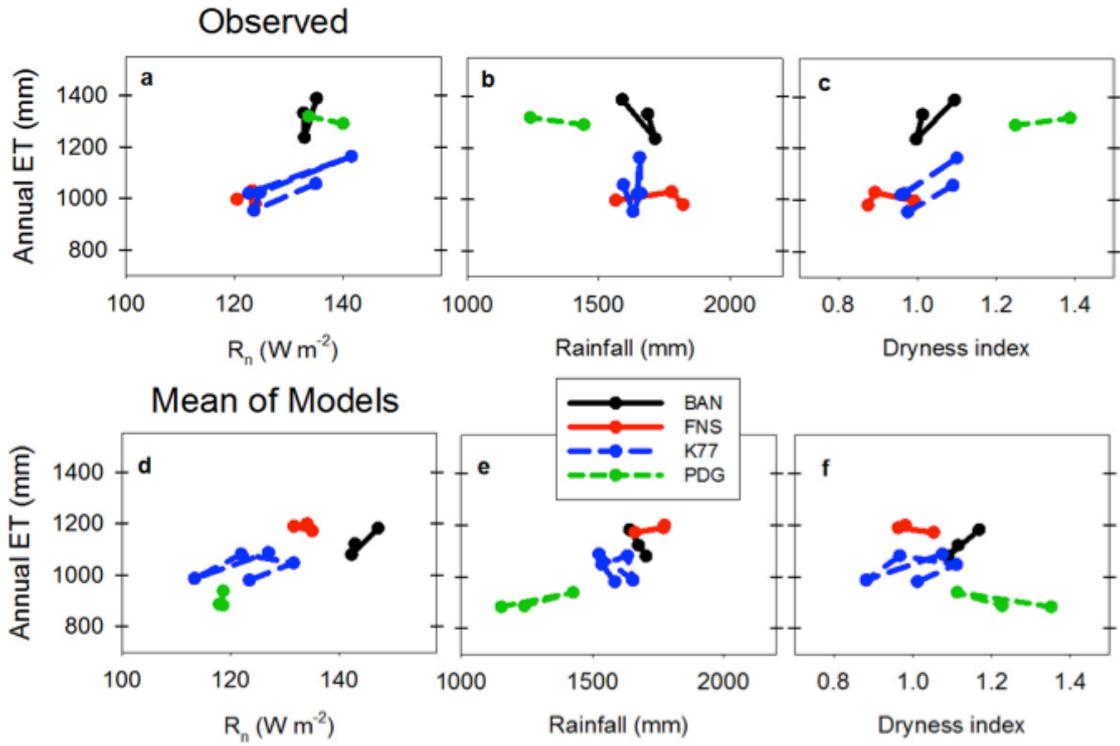
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788 **Fig. 6.** Annual Evapotranspiration versus annual averages (or sums, in case of  
 789 annual rainfall) of climate drivers as observed (top panels) or averaged over the  
 790 suite of LBA-DMIP participating models (bottom panels), at the forest sites K34,  
 791 K67, K83 and RJA.

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794 **Fig. 7.** Same as in Fig. 6, but for the Cerrado sites BAN and PDG, and for the  
 795 pasture sites FNS and K77.

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