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1 **Multiscale atmosphere-ocean interactions and the low frequency variability**
2 **in the equatorial region**

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ABSTRACT

9 In the present study a simplified multiscale atmosphere-ocean coupled
10 model for the tropical interactions among synoptic, intraseasonal and inter-
11 annual scales is developed. Two nonlinear equatorial β -plane shallow wa-
12 ter equations are considered: one for the ocean and the other for the atmo-
13 sphere. The nonlinear terms are: the intrinsic advective nonlinearity and the
14 air-sea coupling fluxes. To mimic the main differences between the fast-
15 atmosphere and the slow-ocean, suitable anisotropic multi space/time scal-
16 ings are applied, yielding a balanced **Synoptic/Intraseasonal/interannual-El**
17 **Niño (SInEN)** regime. In this distinguished balanced regime, the synoptic is
18 the fastest atmospheric time-scale, the intraseasonal is the intermediate air-
19 sea coupling time-scale (common to both fluid flows) and El Niño refers to
20 the slowest interannual ocean time-scale. The asymptotic SInEN equations
21 reveal that the slow wave amplitude evolution depends on both types of non-
22 linearities. Analytic solutions of the reduced SInEN equations for a single
23 atmosphere-ocean resonant triad illustrate the potential of the model to un-
24 derstand slow frequency variability in the tropics. The resonant nonlinear
25 wind stress allows a mechanism for the synoptic scale atmospheric waves to
26 force intraseasonal variability in the ocean. The intraseasonal ocean tempera-
27 ture anomaly coupled with the atmosphere through evaporation forces synop-
28 tic and intraseasonal atmospheric variability. The wave-convection coupling
29 provides another source for higher order atmospheric variability. Nonlinear
30 interactions of intraseasonal ocean perturbations may also force interannual
31 oceanic variability. The constraints that determine the establishment of the
32 atmosphere-ocean resonant coupling can be viewed as selection rules for the
33 excitation of intraseasonal variability (MJO) or even slower interannual vari-
34 ability (El Niño).

35 **1. Introduction**

36 Although incoming solar radiation is the main external energy source for the planet, the terres-
37 trial components (atmosphere, hydrosphere, biosphere and lithosphere) manage the energy input
38 and define both the fast (weather-scale) and the slow (climate-scale) responses. Moreover, among
39 the terrestrial components of the earth's system, the atmosphere and ocean (a sub-component of the
40 hydrosphere) are the leading contributors (Gabites 1950; Fritz 1958). In the weather time-scale,
41 there are outstanding variabilities from microscales to the intra-diurnal (< 24 hrs), the mesoscale
42 (< 2 days) and at synoptic-scale (3 - 7 days). On the other hand, in the climate time-scale, vigor-
43 ous spectral peaks are found in the intraseasonal (30-180 days), the inter-annual to El Niño (1.5 -
44 7 yrs) and the multidecadal (> 10 yrs) time-scales.

45 Recent studies highlight that the persistent deficiency in modeling the slow climate response can
46 be associated with a misrepresentation of the fast weather-scale variability (Innes 2002; Stevens
47 and Bony 2013; Bony et al. 2015). There are also both observational (e.g. Johnson et al. 1999)
48 and general circulation modeling (e.g. Inness et al. 2001) evidence of the modulation of weather
49 scale phenomena by climate variability. In addition, due to the large gap between weather and cli-
50 mate time-scales, if the weather affects the climate, this connection ought to be through multiscale
51 interaction mechanisms. Thus, a renewed interest in systematic methods to develop simplified
52 multiscale atmospheric models for scale interactions can be noted (e.g. Majda and Klein 2003;
53 Majda and Biello 2003; Biello and Majda 2005; Raupp and Silva Dias 2005, 2006, 2009, 2010).
54 The scale interactions can be responsible for the connection between weather and climate re-
55 sponses and involve either upscale/downscale cascade fluxes (Torrence and Webster 1999; Biello
56 and Majda 2005) or discrete wave interactions ((Raupp et al. 2008; Raupp and Silva Dias 2009,
57 2010)). In this context, the wave-wave interactions have been used to explain the generation of

58 low frequency El Niño variability (e.g. Zebiak 1982; Zebiak and Cane 1987; Suarez and Schopf
59 1988; Battisti 1988) and the intraseasonal atmospheric variability (Raupp and Silva Dias 2009). In
60 evoking nonlinear wave interaction theory, the rigorous constraints in discrete resonant wave-wave
61 interactions have been used to explain how certain interactions are favored over others (Longuet-
62 Higgins and Gill 1967; Domaracki and Loesch 1977; Majda et al. 1999; Holm and Lynch 2002;
63 Raupp and Silva Dias 2009; Ripa 1982, 1983a,b).

64 The present study applies both multiscale methods and nonlinear wave interaction theory to for-
65 mulate a model capable of describing scale interactions in a simplified coupled atmosphere-ocean
66 system. The multiscale method adopted here is similar to that adopted by Majda and Klein (2003)
67 for the atmosphere. Thus, our approach can be regarded as an extension of Majda and Klein’s
68 systematic multiscale method by including atmosphere-ocean coupling. Perhaps the most inter-
69 esting feature of our approach is to retain the eigenvectors, atmospheric and oceanic wave modes
70 as leading-order solutions, which in turn allows these modes to interact through the nonlinearity
71 associated with atmosphere-ocean coupling fluxes.

72 Two types of nonlinearity are included: the intrinsic advective nonlinearity and the nonlinearity
73 related to the physical processes. The latter includes both the coupling between large-scale waves
74 and moist convection and the heat and momentum fluxes associated with the atmosphere-ocean
75 coupling.

76 As the focus of the present paper is on the nonlinear interactions in the tropical region, we use
77 the equatorial β -plane approximation. Once the model is scaled by suitable multi-time and multi-
78 space scalings, a perturbation theory is adopted to further simplify the equations and to obtain a
79 reduced and more tractable system describing the interactions involving synoptic, intraseasonal
80 and interannual time-scales in the atmosphere-ocean coupled system.

81 The paper is organized as follows. In Section 2, the basic model equations are introduced and
82 the outlines for the atmosphere-ocean coupling are provided. Suitable scalings to represent the
83 SInEN regime are reviewed at the end of Section 2. In Section 3, parameterizations for the mass
84 and momentum fluxes in the SInEN regime are discussed. In Section 4, the dynamics and physics
85 are joined to formulate the SInEN model equations. The SInEN model evolves in three time-
86 scales, from the equatorial synoptic up to the interannual through the air-sea coupling intrasea-
87 sonal time-scale. The explicit equations for the scale interactions are obtained by asymptotic
88 perturbation methods. In Section 5, analytic solutions of the reduced SInEN equations are illus-
89 trated for the case of a discrete resonant triad composed of an oceanic Kelvin mode interacting
90 with an atmospheric Rossby mode and an atmospheric Kelvin mode through the parameterized
91 atmosphere-ocean coupling fluxes. The analytic solutions demonstrate the potential of the physi-
92 cal parameterization terms (“physics”) to yield slow frequency variability by making synoptic and
93 intraseasonal scale waves to exchange energy in interannual time-scales. In addition, according to
94 our theoretical model, other effects such as the wave-convection coupling in the atmosphere can
95 also play an important role in the excitation of low frequency variability. In Section 5 we also
96 analyze the spatial patterns of the involved waves and the resulting atmosphere-ocean coupling
97 fluxes. Then we discuss a possible configuration, based on observed features of both the MJO
98 an El Niño - Southern Oscillation (ENSO) phenomena, that makes the interaction associated with
99 the selected triad plausible. In Section 6 we summarize the mechanisms that allow the multiscale
100 atmosphere-ocean interactions in the novel nonlinear multiscale model developed here and discuss
101 how this model can be used to explain the slow climate variability.

102 **2. A basic coupled atmosphere-ocean model for the equatorial region**

103 *a. Model equations*

104 A simplified coupled model to study the tropical multiscale air-sea wave interactions can be ob-
 105 tained by using two nonlinear shallow water models, one representing the ocean and the other the
 106 atmosphere. Although the advective nonlinearities are not directly responsible for the atmosphere-
 107 ocean energy exchange, they are preserved in (1). Thus the governing equations are given by:

$$\partial_t \mathbf{v}_a + \mathbf{v}_a \cdot \nabla \mathbf{v}_a + \beta y \mathbf{k} \times \mathbf{v}_a + g \nabla H_a = F_{v_a} \quad (1a)$$

$$\partial_t H_a + \mathbf{v}_a \cdot \nabla H_a + H_a \nabla \cdot \mathbf{v}_a = F_{H_a} \quad (1b)$$

$$\partial_t \mathbf{v}_o + \mathbf{v}_o \cdot \nabla \mathbf{v}_o + \beta y \mathbf{k} \times \mathbf{v}_o + g' \nabla H_o = F_{v_o} \quad (1c)$$

$$\partial_t H_o + \mathbf{v}_o \cdot \nabla H_o + H_o \nabla \cdot \mathbf{v}_o = F_{H_o} \quad (1d)$$

108 The subscript o (a) refers the ocean (atmosphere). The vector (\mathbf{v}_o, H_o) represents the ocean state
 109 i.e., currents and thickness, while (\mathbf{v}_a, gH_a) represents the horizontal wind and geopotential height,
 110 $g' = (\Delta\rho_o/\rho_o)g$ is the reduced gravity, and the equatorial Coriolis parameter is represented by the
 111 β -plane approximation (Gill 1982; Pedlosky 1987). The convention used in the atmosphere for
 112 its vertical structure is that the shallow water equations represent the lowest atmospheric portion
 113 of the first baroclinic mode (similar to Liu and Wang 2013). The source/sink terms are denoted by
 114 $F_{v_o}, F_{H_o}, F_{v_a}, F_{H_a}$ and the atmospheric height and ocean thickness are:

$$H_v = \bar{H}_v(1 + F_v h_v), \text{ with } v = \{a, o\} \quad (2)$$

115 where H_V is the dynamical height/thickness and \bar{H}_V its time independent mean value. F_V is a non-
 116 dimensional measure of the amplitude perturbation and $F_V h_V$ is the height/thickness perturbation
 117 per unit of vertical length.

118 *b. Scalings for the synoptic/intraseasonal/El Niño (SInEN) Regime*

119 1) MULTI-SPACE HORIZONTAL SCALINGS

120 Since time and length scales in the atmosphere are different from those in the ocean, we use mul-
 121 tiscala methods (Pedlosky 1987; Majda and Klein 2003; Biello and Majda 2005). For instance, in
 122 the tropical region, the zonal extension of the Pacific Ocean ($l_s = 15 \times 10^6$ m) is a distinctive pa-
 123 rameter. In the ocean, l_s allows for the delayed oscillator mechanism of the El Niño phenomenon
 124 (Philander 1999a), and, presumably, is the zonal extension of the Pacific Ocean one of the causes
 125 for El Niño to occur only in this tropical ocean. In the atmosphere, despite teleconnections, sig-
 126 nificant tropical spatial variability is at and within the l_s scale. Consequently, l_s is taken as the
 127 referential zonal planetary scale in our model. On the other hand, the effects of both rotation and
 128 latitudinal trapping for large-scale waves near the equator are measured through the equatorial
 129 Rossby deformation radius. Thus, two important spatial scales are introduced: the atmospheric
 130 (oceanic) Rossby deformation radius λ_a (λ_o), where $\lambda_a = \sqrt{C/\beta}$, with C referring to the atmo-
 131 spheric first baroclinic gravity wave speed, and $\lambda_o = \sqrt{C_o/\beta}$, with C_o representing the oceanic first
 132 baroclinic gravity wave speed. The parameters relating zonal and meridional length scales for each
 133 subsystem are given by the anisotropy parameters $\delta_a = \lambda_a/l_s$ and $\delta_o = \lambda_o/l_s$. Since $\lambda_o < \lambda_a < l_s$,
 134 the horizontal spatial scales are anisotropic (Schubert et al. 2009; Ramírez et al. 2011a,b) and,
 135 as $\lambda_o/\lambda_a = \lambda_a/l_s \approx \mathcal{O}[0.1]$, the balance relation $\delta_a = \delta_o^{1/2}$ is useful to describe the spatial scale
 136 separation.

137 2) VERTICAL FLUCTUATION SCALINGS

138 Consistent with previous models, the oceanic shallow water equations represent the active layer
 139 of the ocean, with mean thickness $\bar{H}_o = 150\text{m}$ (e.g. Battisti 1988). In addition, observational
 140 records show that the fluctuations of the oceanic thermocline h_o are of about 30-50 m (Donguy
 141 and Meyers 1987), which therefore results in estimates for the oceanic non-dimensional height
 142 fluctuations $F_o = h_o/\bar{H}_o \approx \mathcal{O}[0.1]$. For the atmosphere, the allowed fluctuations in the equivalent
 143 height associated with the synoptic scale temperature fluctuations $\Delta\theta = 1.5 - 3.0\text{ K}$ can be esti-
 144 mated through the hypsometric equation (c.f. Emanuel 1987; Klein and Majda 2006), resulting in
 145 a non-dimensional height fluctuation $F_a = h_a/\bar{H}_a \approx \mathcal{O}[0.1]$, which is consistent with the estimates
 146 adopted in the atmospheric asymptotic multiscale model of Klein and Majda (2006). Therefore,
 147 $F_v \approx \mathcal{O}[0.1]$, $v = \{o, a\}$, is suitable to represent both the height and thickness fluctuations in the at-
 148 mosphere and ocean, respectively. Thus, hereafter we shall use $F = F_a = F_o = \mathcal{O}[0.1]$ to represent
 149 the vertical fluctuation in both the atmosphere and ocean.

150 3) MULTI-TIME SCALINGS

151 For multi-time scalings, the time-derivative is split into fast ($\tilde{\tau}$), intermediate (t) and slow
 152 changes (τ). The intermediate scale is the referential time-scale T_{ref} , and its neighboring scales
 153 are separated by the scale separation parameter ε :

$$\partial_t \rightarrow \varepsilon^{-1} \partial_{\tilde{\tau}} + \partial_t + \varepsilon \partial_{\tau} \quad (3)$$

154 For the SInEN regime considered here, T_{ref} is related to a measure of the air-sea coupling velocity
 155 U defined by:

$$U = \frac{1}{2}(v_{a_{ref}} + C_o) \approx 4.0\text{ m/s}, \quad (4)$$

156 Reported values of $v_{a_{\text{ref}}}$, in the tropical troposphere, lie in the range of 1 – 9 m/s (e.g. Reed and
 157 Recker 1971). Holton (2004), in his scale analysis of large scale motions in the tropics, adopted
 158 10 m/s as the atmospheric horizontal velocity scale. Here, we have selected $v_{a_{\text{ref}}} = 5.5$ m/s (see
 159 Table 1). However, the same qualitative results are obtained if we select the large values for U used
 160 by Holton (2004). The oceanic gravity wave speed of the first baroclinic mode C_o lies in the range
 161 of 2.4 - 2.9 m/s (Ripa 1982; Battisti 1988), and here the reference value of $C_o = 2.5$ m/s is used.
 162 With these referential length and velocity scales, it follows that the referential time-scale T_{ref} is
 163 the intraseasonal time-scale $T_{\text{ref}} = T_{\text{Int}} = l_s/U = 43.4$ days. Therefore, for a time-scale separation
 164 $\varepsilon = \mathcal{O}[0.1]$, the neighboring time scales are the equatorial synoptic time scale $\varepsilon T_{\text{Int}} = 4.3$ days and
 165 the interannual time scale $\varepsilon^{-1} T_{\text{Int}} = 434$ days. Since $\mathcal{O}[U] = \mathcal{O}[C_o] = \mathcal{O}[v_{a_{\text{ref}}}]$, the same qualitative
 166 results can be obtained if we use either $v_{a_{\text{ref}}}$ or C_o instead of U . The model obtained with the above
 167 scalings spans from the synoptic to the interannual time-scales, with the intraseasonal scale as the
 168 coupling time-scale. It is noteworthy that, as $U < C$ (C : baroclinic gravity wave speed) in the
 169 atmosphere, l_s/U is related to the slow nonlinear advective time-scale. In contrast, for the ocean
 170 T_{Int} is the characteristic time-scale for the linear gravity wave propagation. This motivates the
 171 ansatz in (34) for the evolution of the model, which establishes that the atmosphere evolves using
 172 the fastest two time scales (synoptic and intraseasonal), and the ocean evolves using the slowest
 173 two time scales (intraseasonal and interannual).

174 *c. Scaled Model for the SInEN Regime*

175 Now, considering the above discussions, the following scalings are utilized:

$$\begin{aligned}
t &= (l_s/U)t_*; & x &= l_s x_*; & y &= \lambda_o y_*; \\
h &= \bar{H}_o F h_*; & u &= U_o u_*; & v &= (\lambda_o U_o / l_s) v_*; \\
C_o &= \sqrt{g' \bar{H}_o} \approx U; & \lambda_o &= \sqrt{U/\beta}; & \delta_o &= \lambda_o / l_s
\end{aligned} \tag{5a}$$

177 Atmospheric scalings

$$\begin{aligned}
t &= (l_s/U)t_*; & x &= l_s x_*; & y &= \lambda_a y_*; \\
h &= \bar{H}_a F h_*; & u &= U u_*; & v &= (\lambda_a U / l_s) v_*; \\
C &= \sqrt{g \bar{H}_a} \approx \varepsilon^{-1} U; & \lambda_a &= \sqrt{C/\beta}; & \delta_a &= \lambda_a / l_s,
\end{aligned} \tag{5b}$$

178 The referential intraseasonal time-scale $T_{\text{Int}} = l_s/U$ and the planetary zonal length scale l_s are
179 common for both the atmosphere and ocean, while the other selected scalings are different for the
180 two subsystems. Application of scalings (5) into (1) results in:

$$\partial_t u_a + \mathbf{v}_a \cdot \nabla u_a - \varepsilon^{-1} \delta_a \mathcal{F}_{r_a}^{-1} y v_a + \mathcal{F}_{r_a}^{-2} F \partial_x h_a = \tilde{F}_{\mathbf{v}_a}^x, \tag{6a}$$

$$\delta_a \partial_t v_a + \delta_a \mathbf{v}_a \cdot \nabla v_a + \varepsilon^{-1} \mathcal{F}_{r_a}^{-1} y u_a + \varepsilon^{-1} \mathcal{F}_{r_a}^{-2} F \partial_y h_a = \tilde{F}_{\mathbf{v}_a}^y, \tag{6b}$$

$$\partial_t h_a + \mathbf{v}_a \cdot \nabla h_a + F^{-1} \nabla \cdot \mathbf{v}_a + h_a \nabla \cdot \mathbf{v}_a = \tilde{F}_{H_a}; \tag{6c}$$

$$\partial_t u_o + \mathcal{F}_{r_o} \mathbf{v}_o \cdot \nabla u_o - y v_o + \frac{F}{\mathcal{F}_{r_o}} \partial_x h_o = \tilde{F}_{\mathbf{v}_o}^x, \tag{6d}$$

$$\delta_o \partial_t v_o + \delta_o \mathcal{F}_{r_o} \mathbf{v}_o \cdot \nabla v_o + \varepsilon^{-2} y u_o + \varepsilon^{-2} \frac{F}{\mathcal{F}_{r_o}} \partial_y h_o = \tilde{F}_{\mathbf{v}_o}^y, \tag{6e}$$

$$\partial_t h_o + \varepsilon \mathbf{v}_o \cdot \nabla h_o + \frac{\varepsilon}{F} \nabla \cdot \mathbf{v}_o + \varepsilon h_o \nabla \cdot \mathbf{v}_o = \tilde{F}_{H_o}; \tag{6f}$$

181 where the scaled forcing terms are given by:

$$\tilde{F}_{\mathbf{v}_a}^x = \frac{l_s}{U^2} F_{\mathbf{v}_a}^x, \tilde{F}_{\mathbf{v}_a}^y = \frac{l_s}{U^2} F_{\mathbf{v}_a}^y, \tilde{F}_{H_a} = \frac{l_s}{U \bar{H}_a F} F_{H_a}, \tag{7a}$$

$$\tilde{F}_{\mathbf{v}_o}^x = \frac{l_s}{\varepsilon U^2} F_{\mathbf{v}_o}^x, \tilde{F}_{\mathbf{v}_o}^y = \frac{l_s}{\varepsilon U^2} F_{\mathbf{v}_o}^y, \tilde{F}_{H_o} = \frac{l_s}{U \bar{H}_o F} F_{H_o}. \tag{7b}$$

182 In (6)-(7) above, δ_a is the atmospheric anisotropy parameter, $\mathcal{F}_{r_a} = U/C$ is the atmospheric Froude
183 number and $\delta_o, \mathcal{F}_{r_o} = U_o/C_o$ refer to their oceanic counterparts. Furthermore, with $\varepsilon = 0.1$, $l_s =$

184 $15 \times 10^6 \text{m}$, $U = 4 \text{ m/s}$, $\lambda_o = 4.2 \times 10^5 \text{m}$, $\bar{H}_o = 150 \text{m}$, $\bar{H}_a = 250 \text{m}$, $\beta = 2.29 \times 10^{-11} \text{m}^{-1} \text{s}^{-1}$, $\lambda_a =$
185 $15 \times 10^5 \text{m}$; it follows that $\delta_a = \mathcal{O}[\varepsilon]$ and $\delta_o = \mathcal{O}[\varepsilon^2]$. Thus, as the oceanic Rossby deformation
186 radius is one order of magnitude smaller than its atmospheric counterpart ($\delta_o < \delta_a$), the ocean is
187 more zonally elongated than the atmosphere, and this is consistent with the observational estimates
188 for the tropical latitudinal extension.

189 On the other hand, for typical values of the referential currents in the active ocean layer $U_o =$
190 $0.3 - 0.5 \text{ m/s} \approx \varepsilon U$, it follows that $\mathcal{F}_{r_o} = \mathcal{O}[\varepsilon]$. Thus, the scalings considered here yield the
191 balance:

$$\delta_a = \delta_o^{1/2} = \mathcal{F}_{r_a} = \mathcal{F}_{r_o} = F_a = F_o = F = \varepsilon = 0.1, \quad (8)$$

192 Therefore, following (Majda and Klein 2003; Biello and Majda 2005) ε can be used as
193 the small parameter in our formal asymptotic development, that is, the reduced model for
194 **Synoptic/Intraseasonal/interannual-El Niño** interactions in the coupled atmosphere-ocean system
195 is obtained for $\varepsilon \rightarrow 0$, and the conservative choice $\varepsilon = \mathcal{O}[0.1]$ is physically reasonable. The bal-
196 ance relations in (8) are required for the singular terms in (6) to appear in a skew-symmetric form,
197 which allows us to obtain energy estimates independent of ε and thus to guarantee the regularity
198 of the solution (Majda 2002).

199 It is important to note that in the ocean the quasi-geostrophic balance regulates the dynam-
200 ics at leading-order in ε , so that the nonlinearity is weak and the oceanic Strouhal number S_{tro}
201 (Zdunkowski and Bott 1980) relating the advection to the local derivative is small. In contrast,
202 in the atmosphere the nonlinear terms are of the same order as the local time derivatives and,
203 therefore, the atmospheric Strouhal number $S_{tra} = \mathcal{O}[1]$. Consequently, if prognostic equations
204 are considered, the advective nonlinearity is not negligible. In both the atmosphere and ocean,

205 the meridional acceleration is weaker than its corresponding zonal acceleration, and the system is
206 slightly dispersive, with the spectrum of normal modes being modified under such conditions. The
207 linear and weakly nonlinear equatorial wave spectra undergoing a continuous transition between a
208 fully non-dispersive regime to a dispersive regime, as a function of the anisotropy parameter, have
209 been studied by Ramírez et al. (2011b).

210 In the atmosphere, for the case of a purely Rayleigh friction in the momentum forcing $\tilde{F}^x = ru_a$
211 with a particularly strong damping $r^{-1} = 2$ days, the Gill-type model is recovered. Thus, to
212 leading-order in ϵ , the atmosphere is rapidly adjusted to the ocean. In such a case, the memory of
213 the system is in the ocean, and the dynamical component of (6) is basically the same as that used
214 by Battisti (1988); Philander (1999b). The advantage of our approach is that (6) allows for linear
215 waves in both the atmosphere and ocean, along with nonlinear effects coupling these modes.

216 Moreover, for the atmosphere, the scalings (5) are consistent with those used by Biello and
217 Majda (2005) to obtain the IPESD (Intraseasonal planetary equatorial synoptic dynamics) model.
218 Likewise, the scalings for the ocean are consistent with those used by (Battisti 1988; Philander
219 1999b; Dijkstra 2000).

220 In the real atmosphere-ocean coupled system, there are indications that the Madden-Julian Os-
221 cillation (MJO) can trigger El Niño (McPhaden 1999). However, not all MJO events trigger an El
222 Niño event, and, consequently, there might exist a nontrivial selection rule. As we shall see later,
223 a possible selection rule that might lead the MJO to excite interannual El Niño variability refers
224 to wave triad resonance associated with the mass and momentum forcings that couple atmosphere
225 and ocean.

226 3. Physical parameterizations for the SInEN model

227 a. Physics of the coupling

228 According to Dijkstra (2000), the sea surface temperature anomalies (SSTA) force changes in
229 the low level winds through pressure differences directly induced by the temperature gradients or
230 through pressure gradients associated with sensible and latent heat fluxes controlled by the sea sur-
231 face temperature (SST). As a result, the wind changes result in modifications of the wind stress,
232 which induce changes in the currents and drive further changes in the sea surface temperature
233 (SST) (see also Wang and Weisberg 1994; Philander 1999b). Thus, it is necessary to include an
234 equation for the SST to close model (1). Other processes and some limitations of the parameteri-
235 zations here used are discussed in Section 6.

236 b. Momentum flux

237 The low level surface winds impinge a stress $\vec{\tau}$ onto the surface that transfers momentum to
238 the ocean. In principle, this flux is parameterized by the bulk formula (Krishnamurti et al. 1998;
239 Rogers 1976). However, as the flux is transferred throughout the active water column, the stress is
240 weighted by the factor $\rho_o \bar{H}_o$ (proportional to the depth of the layer). Thus,

$$F_{V_o} = \frac{\vec{\tau}}{\rho_o \bar{H}_o} = \frac{\rho_a C_d |\vec{v}_a| \vec{v}_a}{\rho_o \bar{H}_o}, \quad (9)$$

241 where ρ_a is the air density, C_d the drag coefficient for momentum and ρ_o the water density. Fur-
242 thermore, we consider the case in which $\vec{\tau}$ is dominated by the zonal wind stress (Cane and
243 Sarachik 1976; Dijkstra 2000). The elimination of the meridional wind stress is also consistent
244 with the dominant geostrophic balance in the meridional momentum equation. Thus, $F_{V_o}^y = 0$,
245 $F_{V_o}^x = (\rho_a C_d |u_a| u_a) / (\rho_o \bar{H}_o)$ and the dimensionless wind stress $\tilde{F}_{V_o}^x = (l_s / \epsilon U) F_{V_o}^x$ used in the scaled
246 model equations is given by:

$$\tilde{F}_{V_o}^x = C_{\text{Mflx}} u_{a*}^2, \quad (10)$$

247 where the coefficient for momentum exchange is given by:

$$C_{\text{Mflx}} = \frac{l_s}{\varepsilon U^2} \frac{\rho_a C_d U^2 \text{sign}(u_{a*})}{\rho_o \bar{H}_o}. \quad (11)$$

248 MOMENTUM FLUX STRENGTH

249 To access the order in ε at which the momentum forcing must contribute, we first estimate
250 the strength of the momentum flux ($\|F_{V_o}^x\|$). Thus, using the values in Table 1, it follows that

251 $\|F_{V_o}^x\| = \frac{\rho_a C_d U^2}{\rho_o \bar{H}_o} \approx 0.12 \times 10^{-6} \text{ m/s}^2$, and the non-dimensional scaled strength ($\|\tilde{F}_{V_o}^x\|$) is given by

$$\|\tilde{F}_{V_o}^x\| = \frac{l_s}{\varepsilon U^2} \|F_{V_o}^x\| \approx 1.21 = \mathcal{O}[\varepsilon^0]. \quad (12)$$

252 *c. Mass flux*

253 The mass flux F_{H_a} is set as the difference between evaporation E and deep convective precipita-
254 tion P , that is,

$$F_{H_a} = E - P, \quad (13)$$

255 With the sign convention adopted in (13), it is assumed that evaporation supplies mass to the
256 atmosphere, whereas precipitation removes mass from it. Although this assumption is adequate
257 for the basin wide zonal scale considered, it can break down for smaller scales; for example when
258 the effects of the water vapor on the density must be considered.

259 1) EVAPORATION

260 The moisture flux is given by the bulk formula, which reads $E = \rho_a C_q L_v |\mathbf{v}_a| (q_s - q_a)$, where
261 ρ_a is the air density, C_q the drag coefficient for water vapor flux, L_v the latent heat of vaporization,

262 q_s and q_a are the saturation and anemometer level moisture, respectively. Analogous to the wind
 263 stress forcing we use $|\mathbf{v}_a| \approx |u_a|$. Thus,

$$E = \rho_a C_q L_v |u_a| (q_s - q_a). \quad (14)$$

264 As in Neelin and Zeng (2000), the anemometer level moisture q_a is split in two parts, namely:
 265 $q_a = q_r + \Delta q_r$, where q_r is a referential time/spatial independent moisture and Δq_r represents
 266 local departures from q_r . Although several processes can be accommodated in Δq_r , such as the
 267 mesoscale/synoptic scale structure and evolution, we will set $\Delta q_r = 0$. This shortcoming will
 268 somehow be fixed later as the synoptic scale mass flux Q_{synoptic} will emerge as necessary forcing
 269 in order to close the model equations and to excite the lowest order perturbations in the atmosphere.
 270 The saturation moisture q_s can be approximated by

$$q_s \approx \frac{e_s(T) R_d}{p R_v}, \quad (15)$$

271 where e_s is the saturation water vapor pressure, p is a referential pressure and $R_d/R_v = 0.622$.
 272 The temperature T is split into basic state temperature \bar{T} and anomalous temperature T' . Then, by
 273 using the Clausius-Clapeyron equation $\frac{de_s}{dT} = \frac{L_v(T)e_s}{R_v T^2}$ and neglecting the temperature dependency
 274 of the latent heat of vaporization, we obtain

$$q_s(T') = \frac{\gamma^* R_d}{p_0 R_v} \left(1 + \frac{L_v T'}{R_v \bar{T}^2} \right) \quad (16)$$

275 where $\gamma^* = e_{s0} \exp\left(\frac{L_v}{R_v} \left(\frac{1}{T_0} - \frac{1}{\bar{T}}\right)\right)$, $e_{s0} = 6.11$ mb, $T_0 = 273.0$ K and $p_0 = 1000$ hPa. Thus, the
 276 moisture flux as a function of T' and u_a is given by

$$E(T', u_a) = C_u |u_a| + C_T |u_a| T' \quad (17)$$

277 where C_u and C_T are non-dimensional coefficients for the linear and nonlinear components of the
 278 moisture flux

$$C_u = \frac{l_s}{U\bar{H}_a F} \rho_a C_q L_v U \left(\frac{\gamma^* R_d}{p_0 R_v} - [q_r] \right), \quad (18a)$$

$$C_T = \frac{l_s}{U\bar{H}_a F} \rho_a C_q L_v U \left(\frac{\gamma^* R_d}{p_0 R_v} \frac{L_v [T']}{R_v \bar{T}^2} \right). \quad (18b)$$

279 In the equations above, $[q_r]$ and $[T']$ represent the dimensional strength of moisture and sea surface
 280 temperature anomalies, respectively. Differently from Liu and Wang (2013), the expression for E
 281 contains a nonlinear term yielding a coupling between temperature and wind.

282 2) THERMODYNAMIC EQUATION

283 The approximate thermodynamic equation with dissipation rate r , radiative forcing S and hori-
 284 zontal and vertical advection is given by

$$\partial T' / \partial t = -\varepsilon \tilde{\mathbf{v}}_o \cdot \nabla T' - w_o \partial T' / \partial z - r T' + S. \quad (19)$$

285 Simplified versions of (19) can be obtained, for the case of weak horizontal advection ($\varepsilon \rightarrow 0$) and
 286 no radiative forcing (i.e. $S = 0$). Moreover, the vertical advection depends on the vertical gradient
 287 associated with the difference between surface T' and the subsurface T_s temperature anomalies.

288 Thus,

$$-w_o \frac{\partial T'}{\partial z} \approx -w_o \frac{T' - T_s}{\bar{H}_o} = -w_o \frac{T'}{\bar{H}_o} + K_T h_o \quad (20)$$

289 where K_T relates the subsurface temperature anomaly to the height perturbation h_o (Battisti 1988).

290 In addition, in the fast thermodynamic adjustment, $\partial T' / \partial t \rightarrow 0$, the sea surface temperature
 291 anomaly can also be related to the height perturbation through the following expression:

$$r^* T' = \left(r + \frac{w_o}{\bar{H}_o} \right) T' = K_T h_o \quad (21)$$

292 where r^* is the dissipation rate modified by the vertical advection. With the aid of the thermody-
 293 namic equation (21), the moisture flux can be written as a function of the ocean height perturbation

$$E(h_o, u_a) = C_u |u_a| + C_h |u_a| h_o \quad (22)$$

294 where

$$C_h = \frac{l_s}{U \bar{H}_a F} \rho_a C_q L_v C \left(\frac{\gamma^* R_d}{p_0 R_v} \frac{L_v}{R_v \bar{T}^2} \frac{K_T [h_o]}{r^*} \right) \quad (23)$$

295 and C_h is related to C_T by

$$C_h = \frac{K_T [h_o]}{r^* [T']} C_T. \quad (24)$$

296 EVAPORATION STRENGTH

297 In order to access the evaporation strength, temperature fluctuations in the tropical atmosphere
 298 $\Delta\theta$ are used as proxy of the moisture fluctuations Δq_r in the same region. In this way, Δq_r is
 299 used to estimate the moisture flux. Following Majda and Shefter (2001b), the typical magnitude
 300 of temperature fluctuations in the tropical troposphere is given by $\Delta\theta = (\theta_0 - \bar{\theta})/\theta_0 \approx 0.1$ for a
 301 referential temperature $\theta_0 = 300\text{K}$. This estimate is roughly valid for specific moisture fluctuations

$$\Delta q_r \approx \frac{C_p}{L_v} \Delta\theta \approx 12 \text{ g/kg}. \quad (25)$$

302 Thus, the evaporation strength is given by $\|E\| = 2.29 \times 10^5 \text{ W/m}^2$, or in m/s

$$\|E\| = 4.9 \times 10^3 u_a \Delta q_r = 0.94 \times 10^{-4} \text{ m/s} \quad (26)$$

303 The dimensionless evaporation strength using E in m/s results in

$$\|\tilde{F}_{H_a}^E\| = \frac{l_s}{U \bar{H}_a F} \|E\| \approx 1.4 \varepsilon^{-1} = \mathcal{O}[\varepsilon^{-1}] \quad (27)$$

304 3) PRECIPITATION

305 Precipitation is parameterized by the low level moisture convergence due to anomalous winds
 306 according to

$$P = \lambda_p \int_0^{h_b} \mathcal{H}(-\nabla \cdot (q_v \mathbf{v}_a)) dz \approx \lambda_p h_b \mathcal{H}(-\nabla \cdot (q_v \mathbf{v}_a)) \quad (28)$$

307 where λ_p is the precipitation efficiency, h_b is the boundary layer depth given by $h_b = \varepsilon \bar{H}_a$, q_v is the
 308 moisture field and $\mathcal{H}(x) = x$ for $x \geq 0$ and zero otherwise. If the moisture field q_v is approximated
 309 by the time/spatial independent referential moisture q_r , then P is given by:

$$P \approx C_{Pr} \mathcal{H}(-\nabla \cdot \vec{\mathbf{v}}_a) \quad (29)$$

310 where,

$$C_{Pr} = \frac{l_s}{U \bar{H}_a F} \frac{\lambda_p h_b q_r U}{l_c} \quad (30)$$

311 PRECIPITATION STRENGTH

312 In the tropics, several hierarchies of the organization of clouds and precipitation are found
 313 (Nakazawa 1988). In general, P is confined to a region whose length scale l_c is smaller than
 314 l_s , with l_c representing a measure of spatial organization of clouds and precipitation. In the upper
 315 limit, $l_c \approx l_s$, P represents planetary scale precipitation as in the Intertropical Convergence Zone
 316 (ITCZ) or the Madden-Julian oscillation (MJO) envelope (Nakazawa 1988). Smaller hierarchies
 317 of clouds lead to smaller values of l_c . Examples of these smaller hierarchies of cloud organization
 318 are the planetary scale organization by cloud clusters with $l_c \approx 100$ km, super-clusters of synop-
 319 tic scale organization (SYSO) with $l_c = \varepsilon l_s \approx 1500$ km and mesoscale organization (MESO) with
 320 $l_c = \varepsilon l_s / \pi \approx 500$ km .

321 The dimensionless precipitation strength for a precipitation efficiency parameter $\lambda_p = 0.9$ (see
 322 ε_p in Majda and Shefter 2001b) and a planetary scale precipitation with $l_c = l_s$ is then estimated
 323 as follows:

$$\|\tilde{F}_{H_a}^P\| = \frac{l_s}{U\bar{H}_a F} \frac{\lambda_p h_b q_r U}{l_c} \approx 1.1\epsilon^{-1} = \mathcal{O}[\epsilon^{-1}] \quad (31)$$

324 Furthermore, for heating regions associated with the MJO $\tilde{F}_{H_a}^P \approx 1.7\epsilon^{-1}$. In addition, for synoptic
 325 scale heating we have $\tilde{F}_{H_a}^P \approx 11.0\epsilon^{-1}$. These numbers agree quite well with other estimates (Majda
 326 and Shefter 2001b,a; Yano et al. 1995).

327 MASS FLUX STRENGTH

328 The mass flux (\tilde{F}_{H_a}) is given by the balance between E and P and its strength is determined by

$$\tilde{F}_{H_a} = \frac{l_s}{U\bar{H}_a F} (F_{H_a}^E - F_{H_a}^P) \quad (32)$$

329 For the hierarchies of clouds and precipitation discussed above, we have:

$$\tilde{F}_{H_a} = \begin{cases} +3.0\epsilon^0 & : l_c = l_s \text{ (ITCZ)} \\ -3.0\epsilon^0 & : l_c \approx l_s \text{ (MJO)} \\ -9.6\epsilon^{-1} & : l_c = \epsilon l_s \text{ (SYSO)} \end{cases} \quad (33)$$

330 Considering the whole budget in (33) implies that in the tropical region precipitation is larger than
 331 evaporation ($\tilde{F}_{H_a}(\text{ITCZ}) + \tilde{F}_{H_a}(\text{MJO}) + \tilde{F}_{H_a}(\text{SYSO}) < 0$). However, in the planetary ITCZ-like
 332 organization, as \tilde{F}_{H_a} is positive, the atmosphere has a net gain of mass (evaporation stronger than
 333 precipitation). In contrast, for smaller organization systems, such as in the planetary MJO-like
 334 or in the SYSO-like structures, it follows that precipitation is stronger than evaporation, resulting
 335 in a negative mass source and a net mass loss. Therefore, it appears that the scale of moisture
 336 convergence l_c can be used as a bifurcation parameter.

337 4. Multiscale SInEN model

338 Let us assume now that each component of the system has a solution composed of leading order
 339 $(\vec{v}_V^{(0)}, h_V^{(0)})$ and higher order $(\vec{v}_V^{(1)}, h_V^{(1)})$ perturbations, with $\mathbf{v} = (a, o)$ indicating the atmosphere

340 and the ocean, respectively. We should remember that in our scaled model the ocean evolves in
 341 the slowest two time-scales (t, τ), whereas the atmosphere evolves in the fastest two time-scales
 342 ($\tilde{\tau}, t$). Then the following ansatz is assumed:

$$\vec{v}_a(\tilde{\tau}, t, \mathbf{x}) = \vec{v}_a^{(0)}(\tilde{\tau}, t, \mathbf{x}) + \varepsilon \vec{v}_a^{(1)}(\tilde{\tau}, \mathbf{x}); \quad (34a)$$

$$h_a(\tilde{\tau}, t, \mathbf{x}) = h_a^{(0)}(\tilde{\tau}, t, \mathbf{x}) + \varepsilon h_a^{(1)}(\tilde{\tau}, \mathbf{x}) \quad (34b)$$

$$\vec{v}_o(t, \tau, \mathbf{x}) = \vec{v}_o^{(0)}(t, \tau, \mathbf{x}) + \varepsilon \vec{v}_o^{(1)}(t, \mathbf{x}); \quad (34c)$$

$$h_o(t, \tau, \mathbf{x}) = h_o^{(0)}(t, \tau, \mathbf{x}) + \varepsilon h_o^{(1)}(t, \mathbf{x}). \quad (34d)$$

343 with $\tilde{\tau}, t$ and τ indicating synoptic, intraseasonal and interannual time-scales, respectively. To
 344 ensure the uniform validity of the expansion (34), the solvability condition imposes that: *If there is*
 345 *any growth of the highest order terms, the growth must be slower than the linear growth (Kevorkian*
 346 *and Cole 1986), that is:*

$$\lim_{\varepsilon \rightarrow 0} \left(\frac{\vec{v}_v^{(1)}(\varepsilon^{-1}, \mathbf{x})}{|\varepsilon^{-1}| + 1} \right) = 0 \quad (35a)$$

$$\lim_{\varepsilon \rightarrow 0} \left(\frac{h_v^{(1)}(\varepsilon^{-1}, \mathbf{x})}{|\varepsilon^{-1}| + 1} \right) = 0 \quad (35b)$$

347 Physically, (35) means that even after a long period $\mathcal{O}[\varepsilon^{-1}]$ the $(\vec{v}_v^{(1)}, h_v^{(1)})$ perturbations cannot
 348 overcome the leading order $(\vec{v}_v^{(0)}, h_v^{(0)})$ ones. In addition, consistently with the strength estimates
 349 the source terms can be expanded as:

$$F_{H_a} = \varepsilon^{-1} F_{H_a}^{(-1)} + F_{H_a}^{(0)} + \varepsilon F_{H_a}^{(1)}, \quad (36a)$$

$$F_{V_o} = F_{V_o}^{(0)} + \varepsilon F_{V_o}^{(1)}. \quad (36b)$$

350 with the oceanic momentum flux given by the wind stress parameterization

$$\left(\tilde{F}_{V_o}^{x(0)}, \tilde{F}_{V_o}^{y(0)}, \tilde{F}_{H_o}^{(0)} \right)^T = \left(C_{\text{Mflx}} u_a^{(0)2}, 0, 0 \right)^T; \quad (37a)$$

$$\left(\tilde{F}_{V_o}^{x(1)}, \tilde{F}_{V_o}^{y(1)}, \tilde{F}_{H_o}^{(1)} \right)^T = \left(2C_{\text{Mflx}} u_a^{(0)} u_a^{(1)}, 0, 0 \right)^T; \quad (37b)$$

351 and the atmospheric heat flux given by:

$$\left(\tilde{F}_{V_a}^{x(-1)}, \tilde{F}_{V_a}^{y(-2)}, \tilde{F}_{H_a}^{(-1)} \right)^T = \left(0, 0, Q_{\text{synoptic}} \right)^T; \quad (37c)$$

$$\left(\tilde{F}_{V_a}^{x(0)}, \tilde{F}_{V_a}^{y(-1)}, \tilde{F}_{H_a}^{(0)} \right)^T = \left(0, 0, C_u u_a^{(0)} + C_h u_a^{(0)} h_o^{(0)} - C_{Pr} \mathcal{H}(-\nabla \cdot \vec{v}_a^{(0)}) \right)^T; \quad (37d)$$

$$\left(\tilde{F}_{V_a}^{x(1)}, \tilde{F}_{V_a}^{y(0)}, \tilde{F}_{H_a}^{(1)} \right)^T = \left(0, 0, C_u u_a^{(1)} + C_h (u_a^{(1)} h_o^{(0)} + u_a^{(0)} h_o^{(1)}) - C_{Pr} \mathcal{H}(-\nabla \cdot \vec{v}_a^{(1)}) \right)^T. \quad (37e)$$

352 Once the leading order atmospheric mass flux Q_{synoptic} is specified (recall that it is a free pa-
 353 rameter of the model), it drives the $(\vec{v}_a^{(0)}, h_a^{(0)})$ perturbations, and, in turn, a combination of the re-
 354 sulting perturbations constitutes either the atmospheric mass forcing for higher order disturbances
 355 $(\vec{v}_a^{(1)}, h_a^{(1)})$ or the momentum forcing for leading-order perturbations in the ocean $(\vec{v}_o^{(0)}, h_o^{(0)})$. The
 356 evaporation contributes with both linear and nonlinear terms, and precipitation was parameterized
 357 in terms of linear moisture convergence. In the ocean, the in-homogeneous term for $(\vec{v}_o^{(0)}, h_o^{(0)})$
 358 is due to nonlinear combination of $(\vec{v}_a^{(0)}, h_a^{(0)})$ perturbations, whereas the forcing of $(\vec{v}_o^{(1)}, h_o^{(1)})$
 359 presents a mixed $\mathcal{O}[\varepsilon^0]$ - $\mathcal{O}[\varepsilon^1]$ term. Mixed terms also appear in the atmosphere, but only as a
 360 forcing for higher order terms that are not included in the present study. The physics introduces
 361 nonlinear terms related to the mass and momentum fluxes, and the nonlinear mass flux is related to
 362 the evaporative heat flux. Finally, inserting the ansatz (34) into the SInEN model equations yields:

Three time scale model for the synoptic/intraseasonal/El Niño (SInEN) regime

$$\partial_{\bar{\tau}} u_a^{(0)} - yv_a^{(0)} + \partial_x h_a^{(0)} = \tilde{F}_{V_a}^{x(-1)}; \quad (:\varepsilon^{-1}) \quad (38a)$$

$$yu_a^{(0)} + \partial_y h_a^{(0)} = \tilde{F}_{V_a}^{y(-2)}; \quad (:\varepsilon^{-2}) \quad (38b)$$

$$\partial_{\bar{\tau}} h_a^{(0)} + \nabla \cdot \mathbf{V}_a^{(0)} = \tilde{F}_{H_a}^{(-1)}; \quad (:\varepsilon^{-1}) \quad (38c)$$

363

$$\partial_{\bar{\tau}} u_a^{(1)} - yv_a^{(1)} + \partial_x h_a^{(1)} = \tilde{F}_{V_a}^{x(0)} - (\partial_t u_a^{(0)} + \mathbf{V}_a^{(0)} \cdot \nabla u_a^{(0)}); \quad (:\varepsilon^0) \quad (38d)$$

$$yu_a^{(1)} + \partial_y h_a^{(1)} = \tilde{F}_{V_a}^{y(-1)}; \quad (:\varepsilon^{-1}) \quad (38e)$$

$$\partial_{\bar{\tau}} h_a^{(1)} + \nabla \cdot \mathbf{V}_a^{(1)} = \tilde{F}_{H_a}^{(0)} - (\partial_t h_a^{(0)} + \mathbf{V}_a^{(0)} \cdot \nabla h_a^{(0)} + h_a^{(0)} \nabla \cdot \mathbf{V}_a^{(0)}); \quad (:\varepsilon^0) \quad (38f)$$

364

$$\partial_t u_o^{(0)} - yv_o^{(0)} + \partial_x h_o^{(0)} = \tilde{F}_{V_o}^{x(0)}; \quad (:\varepsilon^0) \quad (38g)$$

$$yu_o^{(0)} + \partial_y h_o^{(0)} = \tilde{F}_{V_o}^{y(0)}; \quad (:\varepsilon^{-3}) \quad (38h)$$

$$\partial_t h_o^{(0)} + \nabla \cdot \mathbf{V}_o^{(0)} = \tilde{F}_{H_o}^{(0)}; \quad (:\varepsilon^0) \quad (38i)$$

365

$$\partial_t u_o^{(1)} - yv_o^{(1)} + \partial_x h_o^{(1)} = \tilde{F}_{V_o}^{x(1)} - (\partial_{\bar{\tau}} u_o^{(0)} + \mathbf{V}_o^{(0)} \cdot \nabla u_o^{(0)}); \quad (:\varepsilon^1) \quad (38j)$$

$$yu_o^{(1)} + \partial_y h_o^{(1)} = \tilde{F}_{V_o}^{y(1)}; \quad (:\varepsilon^{-2}) \quad (38k)$$

$$\partial_t h_o^{(1)} + \nabla \cdot \mathbf{V}_o^{(1)} = \tilde{F}_{H_o}^{(1)} - (\partial_{\bar{\tau}} h_o^{(0)} + \mathbf{V}_o^{(0)} \cdot \nabla h_o^{(0)} + h_o^{(0)} \nabla \cdot \mathbf{V}_o^{(0)}); \quad (:\varepsilon^1) \quad (38l)$$

366 The ε powers between brackets in the right of their correspondent equations (38) indicate the
367 order in which the equations are balanced.

368 The leading order perturbations of each subsystem are governed by the so-called linear equa-
369 torial long-wave equations, whose eigenvectors are the anisotropic non-dispersive Kelvin and
370 Rossby waves (e.g. Gill 1980; Schubert et al. 2009; Ramírez et al. 2011b). Consequently, the
371 solvability condition (35) applied in (38) implies that the source terms for the $(\vec{v}_a^{(1)}, h_a^{(1)})$ and
372 $(\vec{v}_o^{(1)}, h_o^{(1)})$ perturbations must be non-resonant with the linear operator. Since the linear operator

373 describes the anisotropic Rossby and Kelvin waves, the elimination of resonances is achieved by
374 projecting the first order equations onto the Kelvin and Rossby wave eigenvectors, in their re-
375 spective traveling reference frames (see, for instance, Boyd 1980; Majda and Biello 2003). This
376 projection results in the slow evolution equations of the amplitudes of Kelvin and Rossby wave
377 packets of both the atmosphere and ocean. These Rossby and Kelvin wave packets undergo their
378 own self mode interactions due to the intrinsic advective nonlinearity of each subsystem (compare
379 Gill 1980 and Gill and Phlips 1986). In addition, the parameterized mass and momentum fluxes
380 coupling the atmosphere and ocean can yield interactions between atmospheric and oceanic wave
381 packets through resonant triads of specific Fourier modes. This latter feature of the multiscale
382 SInEN model is illustrated in the next section.

383 **5. Integration of the multiscale SInEN model: the case of a single resonant triad interaction**

384 As discussed above, in the multiscale SInEN model (38) wave modes are allowed in both the
385 atmosphere and ocean sub-systems and the leading order solution corresponds to the anisotropic
386 non-dispersive Kelvin and long Rossby waves. Furthermore, nonlinear mode interactions are due
387 to either the advective nonlinearity or the parameterized mass and momentum forcings. The former
388 allows for interactions of waves that belong to the same sub-system, whereas the latter allows for
389 across sub-system mode interactions. In this section, we deal with the nonlinear wave interaction
390 of atmospheric and oceanic waves through wind stress and evaporation and how these interactions
391 can connect atmosphere and ocean from synoptic to interannual time-scales through intraseasonal
392 time-scale.

393 *a. Solvability condition and resonant triad equations*

394 The source terms for the $\mathcal{O}[\varepsilon]$ perturbations in both the atmosphere (38d-38f) and ocean (38j-
 395 38l) have the form of a forced Burger equation, which is a model for the nonlinear interaction
 396 of non-dispersive wave packets (e.g. Boyd 1980; Menzaque et al. 2001). However, as our focus
 397 is on interactions involving waves of different media, we neglect the advective terms and restrict
 398 our analysis to the discrete wave mode interactions produced by the physical parameterizations.
 399 The motivation for this simplification comes from Fig. 2, where a representative example of
 400 atmosphere-ocean resonant triad is depicted. The triad is composed of atmospheric Kelvin and
 401 Rossby waves along with an oceanic Kelvin wave represented by (ω_1, k_1) ; (ω_2, k_2) and (ω_3, k_3) ,
 402 respectively. The resonance condition for this discrete triad interaction is given by:

$$\omega_1 = \omega_2 + \omega_3, \quad (39a)$$

$$k_1 = k_2 + k_3. \quad (39b)$$

403 Therefore, in order to study the dynamics of this resonant triad, we consider the following ansatz
 404 for the leading-order solution of SInEN model:

$$\begin{pmatrix} u_a \\ v_a \\ h_a \end{pmatrix} = Z_1(t) \begin{pmatrix} \hat{u}_1(\varepsilon y) \\ \hat{v}_1(\varepsilon y) \\ \hat{h}_1(\varepsilon y) \end{pmatrix} e^{i(k_1 x - \omega_1 \bar{\tau})} + Z_2(t) \begin{pmatrix} \hat{u}_2(\varepsilon y) \\ \hat{v}_2(\varepsilon y) \\ \hat{h}_2(\varepsilon y) \end{pmatrix} e^{i(k_2 x - \omega_2 \bar{\tau})} + \text{C.C.} \quad (40a)$$

$$\begin{pmatrix} u_o \\ v_o \\ h_o \end{pmatrix} = Z_3(\tau) \begin{pmatrix} \hat{u}_3(y) \\ \hat{v}_3(y) \\ \hat{h}_3(y) \end{pmatrix} e^{i(k_3 x - \omega_3 t)} + \text{C.C.} \quad (40b)$$

405 In (40), the meridional structure functions $(\hat{u}_j, \hat{v}_j, \hat{h}_j, j = \{1, 2, 3\})$ are given by

$$\begin{pmatrix} \hat{u}_1 \\ \hat{v}_1 \\ \hat{h}_1 \end{pmatrix} = \frac{1}{\sqrt{2\sqrt{\pi}}} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} e^{-\varepsilon^2 y^2/2}; \quad \begin{pmatrix} \hat{u}_3 \\ \hat{v}_3 \\ \hat{h}_3 \end{pmatrix} = \frac{1}{\sqrt{2\sqrt{\pi}}} \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} e^{-y^2/2} \quad (41a)$$

$$\begin{pmatrix} \hat{u}_2 \\ \hat{v}_2 \\ \hat{h}_2 \end{pmatrix} = \frac{1}{D} \begin{pmatrix} i[-(\omega_2 + k_2)\psi_2(\varepsilon y) - (\omega_2 - k_2)\sqrt{\frac{1}{2}}\psi_0(\varepsilon y)] \\ (\omega_2^2 - k_2^2)\psi_1(\varepsilon y) \\ i[(\omega_2 + k_2)\psi_2(\varepsilon y) - (\omega_2 - k_2)\sqrt{\frac{1}{2}}\psi_0(\varepsilon y)] \end{pmatrix} \quad (41b)$$

406 where $D = [(\omega_2 - k_2)^2 + 2(\omega_2 + k_2)^2 + (\omega_2^2 - k_2^2)^2]$ and $\psi_j(y)$ are the Hermite functions. The
 407 weaker meridional confinement near the equator of the atmospheric waves is represented by $Y = \varepsilon y$
 408 in the argument of the Hermite functions. Therefore, substituting the ansatz (40) in (38) it follows
 409 that the leading-order $\mathcal{O}[1]$ equations are satisfied automatically, since the ansatz is a combination
 410 of three linearly independent solutions of the $\mathcal{O}[1]$ problem. Consequently, by requiring orthogo-
 411 nality between the in-homogeneous terms in the $\mathcal{O}[\varepsilon]$ equations and the linear operator to eliminate
 412 the secular terms yields:

$$\frac{d}{dt}Z_1 = L_1Z_1 + N_1^{2,3}Z_2Z_3 \quad (42a)$$

$$\frac{d}{dt}Z_2 = L_2Z_2 + N_2^{3,1}Z_3^*Z_1 \quad (42b)$$

$$\frac{d}{dt}Z_3 = L_3Z_3 + N_3^{1,2}Z_1Z_2^* \quad (42c)$$

413 where the linear coefficients L_1 , L_2 and L_3 are given by

$$L_1 \equiv [C_u \langle \hat{u}_1 | \hat{h}_1 \rangle + i\omega_1 C_{Pr} \langle \hat{h}_1 | \hat{h}_1 \rangle] \quad (43a)$$

$$L_2 \equiv [C_u \langle \hat{u}_2 | \hat{h}_2 \rangle + i\omega_2 C_{Pr} \langle \hat{h}_2 | \hat{h}_2 \rangle] \quad (43b)$$

$$L_3 \equiv 0 \quad (43c)$$

414 and the nonlinear interaction coefficients $N_1^{2,3}, N_2^{3,1}, N_3^{1,2}$ are

$$N_1^{2,3} \equiv C_h \langle \hat{u}_2 \hat{h}_3 | \hat{h}_1 \rangle \quad (44a)$$

$$N_2^{3,1} \equiv C_h \langle \hat{u}_1 \hat{h}_3 | \hat{h}_2 \rangle \quad (44b)$$

$$N_3^{1,2} \equiv 2\varepsilon C_{\text{Mflx}} \langle \hat{u}_1 \hat{u}_2 | \hat{u}_3 \rangle \quad (44c)$$

415 The multiplicative factor ε in the nonlinear interaction coefficient of the oceanic mode reflects the
 416 slower time-scale associated to the oceanic mode amplitude compared to the atmospheric waves.

417 The inner product $\langle | \rangle$ is defined by

$$\langle \vec{f} | \vec{g} \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T \lim_{L \rightarrow \infty} \frac{1}{L} \int_0^L \int_{-\infty}^{\infty} (\vec{f}^\dagger \cdot \vec{g}) dy dx dt \quad (45)$$

418 The time and zonal dependency can be evaluated using

$$\lim_{S \rightarrow \infty} \frac{1}{S} \int_0^S e^{i\Delta s} ds = \begin{cases} 1 & \text{for } \Delta = 0, \\ 0 & \text{for } \Delta \neq 0. \end{cases} \quad (46)$$

419 By considering the transformation $Z_j = \hat{Z}_j e^{L_j t}$, $j = \{1, 2, 3\}$, and omitting the hats for simplicity,
 420 the equations (42) can be re-written to focus on the nonlinear terms

$$\frac{d}{dt} Z_1 = N_1^{2,3} Z_2 Z_3 \quad (47a)$$

$$\frac{d}{dt} Z_2 = N_2^{3,1} Z_1 Z_3^* \quad (47b)$$

$$\frac{d}{dt} Z_3 = N_3^{1,2} Z_1 Z_2^* \quad (47c)$$

421 Furthermore, evaluating the nonlinear coupling coefficients we have:

$$\langle \hat{u}_2 \hat{h}_3 | \hat{h}_1 \rangle = \left(+\Gamma I_{200}^{\varepsilon 1\varepsilon} + \Lambda I_{000}^{\varepsilon 1\varepsilon} \right) \quad (48a)$$

$$\langle \hat{u}_1 \hat{h}_3 | \hat{h}_2 \rangle = \left(-\Gamma I_{200}^{\varepsilon 1\varepsilon} + \Lambda I_{000}^{\varepsilon 1\varepsilon} \right) \quad (48b)$$

$$\langle \hat{u}_1 \hat{u}_2 | \hat{u}_3 \rangle = \left(+\Gamma I_{200}^{\varepsilon 1\varepsilon} + \Lambda I_{000}^{\varepsilon 1\varepsilon} \right) \quad (48c)$$

422 where

$$\Gamma = \frac{-i(\omega_2 + k_2)}{D} \quad (49a)$$

$$\Lambda = \frac{-i(\omega_2 - k_2)}{D} \quad (49b)$$

423 and

$$I_{000}^{\varepsilon 1\varepsilon} \equiv \int_{-\infty}^{\infty} \psi_0(\varepsilon y) \psi_0(y) \psi_0(\varepsilon y) dy = \int_{-\infty}^{\infty} \frac{1}{\pi^{\frac{3}{4}}} e^{-y^2(\varepsilon^2 + \frac{1}{2})} dy = \frac{1}{\pi^{\frac{1}{4}}} \sqrt{\frac{1}{\varepsilon^2 + \frac{1}{2}}} \quad (50a)$$

$$I_{200}^{\varepsilon 1\varepsilon} \equiv \int_{-\infty}^{\infty} \psi_2(\varepsilon y) \psi_0(y) \psi_0(\varepsilon y) dy = \int_{-\infty}^{\infty} \frac{2\varepsilon^2 y^2 - 1}{\sqrt{2}\pi^{\frac{3}{4}}} e^{-y^2(\varepsilon^2 + \frac{1}{2})} dy = \frac{1}{\sqrt{2}\pi^{\frac{1}{4}}} \left(2\varepsilon^2 \sqrt{\frac{1}{(\varepsilon^2 + \frac{1}{2})^3}} - \sqrt{\frac{1}{\varepsilon^2 + \frac{1}{2}}} \right). \quad (50b)$$

424 Equations (44), (48) and (49) show that the nonlinear interaction coefficients $N_1^{2,3}, N_2^{3,1}, N_3^{1,2}$ are
 425 purely imaginary numbers and are explicit functions of the frequency and wavenumber of the at-
 426 mospheric Rossby wave (ω_2, k_2) . In addition, as the triad interaction considered is due to the
 427 parameterized mass and momentum forcings, its dynamics should differ from the resonant triads
 428 arising from advective nonlinearity. However, in the specific parameter regime where the model
 429 has stable solutions, the triad displays certain properties similar to those of the conservative reso-
 430 nant interactions associated with advective nonlinearity.

431 The nonlinear interactions through physical parameterizations in (47) allow for the coupling of
 432 waves that belong to different fluid flows (sub-systems) and have distinctive temporal and spatial
 433 scales. Precisely, the distinctive nature of the atmospheric and oceanic fluid flows prevents a direct
 434 resonant atmosphere-ocean coupling through advection. Therefore, (47) represents a simplified
 435 mechanism by which the resonant interaction illustrated in Fig. 2 might occur.

436 *b. Parametric Interactions*

437 To further understand the interactions, we first analyze the limiting case where the interaction
 438 coefficient of the oceanic Kelvin mode is zero. This is equivalent to considering either a linear

439 parameterization for the wind stress ($C_{\text{Mflx}} = 0$) or the limiting case of $\varepsilon \rightarrow 0$. In this case, the
 440 oceanic Kelvin mode acts as a catalyst mode, i.e., it allows the nonlinear interaction between the
 441 two atmospheric waves but its amplitude is unaffected by the two atmospheric wave modes. This
 442 type of resonant interaction is known as ‘Parametric interaction’, as the magnitude of the energy
 443 exchange between the other triad members depends on the initial amplitude of the catalyst mode.
 444 Under the parametric interaction described above, equations (47) now read:

$$\frac{d}{dt}Z_1 = N_1^{2,3}Z_2Z_3. \quad (51a)$$

$$\frac{d}{dt}Z_2 = N_2^{1,3}Z_1Z_3^*. \quad (51b)$$

$$\frac{d}{dt}Z_3 = 0. \quad (51c)$$

445 An interpretation for (51) is that the slow oceanic wave amplitude evolution is even slower com-
 446 pared to the atmospheric wave amplitude evolution. In other words, there is a wide scale separation
 447 between the evolution of the atmosphere and the ocean.

448 Furthermore, from (51) one can obtain an equation for each of the atmospheric wave amplitudes.

449 Thus, for the atmospheric Kelvin wave, we have:

$$\frac{d^2}{dt^2}Z_1 + \Omega^2Z_1 = 0, \quad (52)$$

450 where

$$\Omega^2 \equiv -N_1^{2,3}N_2^{1,3} = C_h^2 \left[|\Lambda|^2 (I_{000}^{\varepsilon 1 \varepsilon})^2 - |\Gamma|^2 (I_{200}^{\varepsilon 1 \varepsilon})^2 \right] |Z_3|^2 \quad (53)$$

451 As $I_{000}^{\varepsilon 1 \varepsilon}$ and $I_{200}^{\varepsilon 1 \varepsilon}$ are polynomials in ε ; both of them in combination with Λ and Γ determine
 452 the character of the slow wave modulation. Fig. 3 displays Ω^2 as a function of the oceanic to
 453 atmospheric meridional decay ratio (ε) for $C_h = |Z_3| = 1$ and (ω_2, k_2) taken from the equatorial
 454 $n = 1$ Rossby wave depicted in Fig. 2. Thus, we note that for typical values of the ratio between
 455 oceanic and atmospheric equatorial wave trappings $\varepsilon = \lambda_o/\lambda_a = \sqrt{U/C_a} \sim 0.2$ it follows that

456 $\Omega^2 > 0$ and, consequently, the atmospheric wave amplitudes undergo periodic modulation with
 457 frequency Ω . In contrast, for smaller values of ε (e.g. ~ 0.1) it results that $\Omega^2 < 0$ and the
 458 atmospheric wave amplitudes undergo an exponential growth, indicating an unstable character. In
 459 fact, Fig. 4 illustrates the integration of (51) for the unstable regime. A very fast exponential
 460 growth of the solutions at intraseasonal time-scales can be noted. Furthermore, as $\varepsilon = \lambda_o/\lambda_a$,
 461 it is suggested that the meridional trapping of the interacting equatorial waves is an important
 462 parameter to define the stability of the atmosphere-ocean resonant interactions.

463 In the parametric oscillatory regime of (51) i.e., $\Omega^2 > 0$, the total triad energy is bound and the
 464 oceanic wave amplitude is not modulated at the slow time scale. However, the oceanic wave is
 465 essential to allow the energy exchange between the atmospheric waves. The long period of energy
 466 exchange depends on the initial energy with which the triad was established. Thus, whenever
 467 $Z_3(t=0) \rightarrow 0$ then $\Omega \rightarrow 0$, and the modulation period becomes infinite. On the other hand, large
 468 values of $Z_3(t=0)$ result in large values of Ω , and, consequently, short periods for the energy
 469 exchange.

470 To further test the sensitivity of the system to the initial condition, i.e., the energy level at which
 471 the triad was established, Figs. 5 and 6 depict the integration of (51) for two different values of
 472 the initial oceanic wave amplitude, $|Z_3|^2 = 25$ and $|Z_3|^2 = 16$, respectively. In these numerical
 473 experiments $\varepsilon = \lambda_o/\lambda_a \sim 0.2$, and, therefore, the solution is stable (see Fig. 3). The selected
 474 initial energy distribution deposits more energy into the oceanic mode and less energy into the
 475 atmospheric Kelvin mode ($|Z_1|^2 = 0.32$). The atmospheric Rossby mode is initiated with an in-
 476 termediate energy value ($|Z_2|^2 = 7.56$). From the time integration it can be seen that most of the
 477 energy goes to the atmospheric Kelvin mode, whereas the atmospheric $n = 1$ Rossby wave is mod-
 478 ulated with the exact opposite phase. Thus, when the Rossby wave is at its maximum energy level,
 479 the atmospheric Kelvin wave is at its minimum energy level and *vice-versa*. Furthermore, as $|Z_3|^2$

480 decreases, the frequency modulation decreases, and the period of energy exchange increases from
 481 around 60 days to around 75 days. In both experiments, the energy of the oceanic Kelvin wave
 482 remains constant for the whole period.

483 *c. Resonant Triad Interactions*

484 We now analyze the dynamics of the same resonant triad discussed in the previous subsection,
 485 but considering a nonlinear wind stress parameterization ($C_{\text{Mflx}} \neq 0$) or similarly relaxing the
 486 limiting case of $\varepsilon \rightarrow 0$ by considering ε small but finite. Although the coupling coefficient $N_3^{1,2}$
 487 of the oceanic Kelvin mode must still be much smaller than those of the atmospheric members of
 488 the triad, now the amplitude of the oceanic mode is allowed to vary in time. Consequently, all the
 489 triad members undergo nonlinear amplitude modulation.

490 As in the parametric case, the full triad system (47) is integrable and its solutions are described in
 491 terms of Jacobi Elliptic functions (Abramowitz and Stegun 1972; Arfken and Weber 1995; Lynch
 492 2003; Craik 1985). In this sense, to somewhat simplify the solution, we set the mode with the
 493 highest energy modulation (mode 1 - the atmospheric Kelvin mode) to have zero initial amplitude.
 494 In this case, the solution of system (47) is similar to that used by Domaracki and Loesch (1977);
 495 Raupp et al. (2008):

$$Z_1(t) = Z_2|_{t=0} \left(\left| \frac{N_1^{2,3}}{N_2^{1,3}} \right| \right)^{1/2} \text{sn}(\mathfrak{E} | \tilde{m}) \quad (54a)$$

$$Z_2(t) = Z_2|_{t=0} \text{cn}(\mathfrak{E} | \tilde{m}) \quad (54b)$$

$$Z_3(t) = Z_3|_{t=0} \text{dn}(\mathfrak{E} | \tilde{m}) \quad (54c)$$

496 where sn , cn and dn are the Jacobi elliptic functions, with argument Ξ (corresponding to the re-
 497 scaled time) and parameter \tilde{m} given by

$$\Xi = Z_3|_{(t=0)} \left(\left| N_1^{2,3} N_2^{1,3} \right| \right)^{1/2} \epsilon t, \quad (55a)$$

$$\tilde{m} = \frac{N_3^{1,2} Z_2^2|_{(t=0)}}{N_2^{1,3} Z_3^2|_{(t=0)}}. \quad (55b)$$

498 The analytic solution (54) may exhibit different behaviors depending on the initial energy partition
 499 among the triad members. This is evidenced by the dependence of \tilde{m} on the ratio of the initial
 500 amplitudes of modes 2 and 3. For example, when $\frac{Z_2^2|_{(t=0)}}{Z_3^2|_{(t=0)}} \ll 1$, the triad essentially undergoes the
 501 parametric regime discussed above, with mode 3 (the oceanic Kelvin mode) acting as a catalyst
 502 mode for the energy exchanges between the atmospheric waves. Moreover, when $\tilde{m} = 0$, the
 503 elliptic functions become trigonometric functions, with $\text{sn} \rightarrow \sin$, $\text{cn} \rightarrow \cos$ and $\text{dn} \rightarrow +1$, resulting
 504 that $Z_3(t)$ is a constant. On the other hand, as the parameter \tilde{m} tends to one, the elliptic functions
 505 describe a parabola, and instability of the highest frequency mode (mode 1) might occur. In
 506 addition, for intermediate values of the parameter \tilde{m} , the triad undergoes considerable energy
 507 exchanges, with all the wave amplitudes being significantly modulated in time. As the coupling
 508 coefficient of the oceanic mode is one order of magnitude smaller than those of the atmospheric
 509 waves, a sufficiently small initial amplitude of the oceanic Kelvin mode, in comparison with the
 510 atmospheric Rossby mode, is required in this regime.

511 A representative example of the solution (54) for the case of the full resonant triad interactions is
 512 illustrated in Fig. 7. The initial amplitudes for the modes 2 and 3 were chosen to fall into $0 < \tilde{m} < 1$
 513 regime, and other parameters were set to yield $\epsilon = 0.2$. As can be noted, all the triad members
 514 undergo significant interannual energy modulation. The dimensional natural oscillation periods
 515 associated with the triad members are: $T(\omega_1) = 3.4$ days, $T(\omega_2) = 12.0$ days and $T(\omega_3) = 57.0$
 516 days. Therefore, the energy modulation of the triad members is much slower than their natural

517 oscillation periods. Thus, the nonlinear triad interaction analyzed here allows for a multi time-scale
518 interaction, yielding an interannual energy modulation through nonlinear interactions involving
519 waves with synoptic and intraseasonal time-scales.

520 The resonant triad in Fig. 7 has a behavior typical of conservative resonant interactions through
521 advective nonlinearity, that is, the highest absolute frequency mode of the triad (mode 1 - atmo-
522 spheric Kelvin wave) always grows or declines at the expense of the other modes. Furthermore, the
523 lowest absolute frequency mode of the triad (mode 3 - oceanic Kelvin mode) exhibits the weakest
524 energy modulation. However, a difference between the present triad and a triad associated with
525 advective nonlinearities is that the total energy of the present triad is no longer conserved. As a
526 consequence, the total energy is also strongly modulated in the slow time scale. In addition, even
527 though the atmospheric Kelvin wave is initiated with zero energy, this mode attains a much higher
528 energy level than the remaining triad components, and, therefore, is responsible for almost all the
529 energy of the system during the periods of its maximum energy level. These aspects are confirmed
530 by the numerical integration of system (47), which agrees with the analytic solution (54) in all the
531 correspondent parameter regimes.

532 In Fig. 8 the low-level patterns of the dynamical fields associated with the atmospheric branch
533 of the resonant triad, i.e., the atmospheric Kelvin wave (mode 1) and the $n = 1$ equatorial Rossby
534 wave (mode 2) are displayed. The Kelvin wave is of planetary scale and produces strong westerly
535 winds throughout the Pacific Ocean (peaking over the central Pacific) and easterly winds outside
536 the basin. In addition, the $n = 1$ equatorial Rossby (mode 2) produces a symmetric pattern about
537 the equator, with strong westerlies both to the west and east of the Pacific basin and strong east-
538 erlies over the central Pacific. The spatial scale of the $n = 1$ Rossby wave is compatible with the
539 pattern of twin cyclones around the eastern Indian Ocean, the Maritime continent and the western
540 Pacific Ocean, that is associated to the MJO (e.g., Ferreira et al. (1996)).

541 The zonal wind stress produced by the interaction between modes 1 and 2 is displayed in Fig. 9.
542 The strong wind stress over the western Pacific Ocean may represent the westerly wind burst that
543 precedes a typical El Niño development (see McPhaden (1999)). Over the eastern Pacific Ocean,
544 the westerly wind stress is relatively weak, however, it can contribute to weaken the climatological
545 trade winds and to relax the pressure gradient that maintains the warm waters to the west in the
546 Pacific Ocean.

547 Furthermore, the coupling of the atmospheric Kelvin-Rossby waves and the oceanic Kelvin
548 wave yields the modulated evaporation pattern depicted in Fig. 9. The evaporation envelope is
549 about 6000 km of zonal extension (over the western Pacific), whereas its internal spatial structure
550 is of synoptic or meso- γ spatial scale (≈ 2000 km). The up and down synoptic-scale pattern of
551 the evaporation may allow eastward propagation of the synoptic-scale convective anomalies that
552 are part of the MJO envelope (see Zhang (2005)). Thus, the spatial patterns of the waves that
553 constitute the resonant triad analyzed here are consistent with mechanisms that may lead to the
554 interaction between synoptic, intraseasonal and interannual space/time scales.

555 Therefore, the results presented here for the special case of a single resonant triad interacting
556 through parameterized atmosphere-ocean fluxes demonstrate the potential of the multiscale SInEN
557 model to connect the atmosphere and ocean from synoptic to interannual time-scales through the
558 intraseasonal time-scale.

559 **6. Summary and Final Remarks**

560 In this paper, we have developed a novel nonlinear multiscale model to study
561 **Synoptic/Intraseasonal/interannual-El Niño (SInEN)** interactions in a coupled atmosphere-ocean
562 system. For this purpose, we have considered a simple set up, i.e., two coupled equatorial β -plane
563 shallow-water equations, one representing the ocean and the other the atmosphere. The reduced

564 multiscale SInEN model is obtained as a distinguished limit of the original coupled shallow-water
 565 equations. This limit represents a balanced regime in the atmosphere-ocean system where: the
 566 atmospheric Froude number; the oceanic Froude number; the non-dimensional strength of atmo-
 567 spheric height and oceanic thickness fluctuations, as well as the ratio between meridional and
 568 zonal length scales for both atmosphere and ocean, are all small parameters and of the same order
 569 of magnitude, that is:

$$\mathcal{F}_{r_a} = \mathcal{F}_{r_o} = F = \delta_a = \delta_o^{1/2} = \varepsilon. \quad (56)$$

570 This balance assumption is required for the mathematical consistence of the limiting dynamics
 571 and is physically coherent with the typical strengths for winds, currents and thermal anomalies
 572 associated with the scales centered around the intraseasonal variability. The selected equations
 573 (1) are compatible with the commonly adopted framework of applying shallow water equations to
 574 describe the first baroclinic mode of either the troposphere or the ocean active layer.

575 To bring about the SInEN regime, the mass and momentum forcings for the atmosphere and
 576 ocean are also expanded in terms of the small non-dimensional parameter of the system. The forc-
 577 ing strengths have been estimated in the context of the commonly held physical parameterizations
 578 for air-sea mass and momentum fluxes and deep convection in the atmosphere. For instance, the
 579 momentum forcing is represented through atmospheric wind stress, whereas the mass forcing is
 580 represented as the difference between evaporation (E) and deep convective precipitation (P). In
 581 turn, evaporation is formulated according to the wind induced surface heat exchange (WISHE)
 582 mechanism, while precipitation is formulated according to the wave-CISK hypothesis, where P is
 583 proportional to lower troposphere moisture convergence.

584 Although the flux formulation is recognized to be rather simplistic (Dijkstra 2000; Philander
 585 1999b; Hirst and Lau 1990; Battisti 1988), some other drawbacks can be discussed. For example,
 586 in the SInEN model the atmosphere and ocean are not fully thermally coupled, since the impact

587 of heat fluxes does not affect back the ocean thermodynamics and currents. The radiation-SST
588 feedback and the evaporation feedback due to changing latent heat flux might also be considered.
589 Further, the ocean thermohaline dynamics does not fully affect the ocean dynamics since $g' =$
590 $\Delta\rho_o/\rho_o$ is constant, but in the real atmosphere-ocean system the exchanges of evaporation and
591 precipitation along with the salinity also affect the density structure and play an important role
592 in thermocline fluctuations. Furthermore, the ocean dynamics-thermodynamics coupling and the
593 geometry of the Pacific Ocean are crucial for the formation of the warm/cold tongue during the El
594 Niño/La Niña events, and thus it would be important to include these effects in our model along
595 with the seasonal cycle.

596 The scalings used to obtain the SInEN model imply a referential intraseasonal time-scale
597 connected to the fast equatorial synoptic and slow interannual time-scales through the non-
598 dimensional parameter ε . Consequently, to obtain solutions of the SInEN equations, a perturbation
599 theory with multiple time-scales has been adopted, with the atmospheric variables being assumed
600 to evolve on the fastest two time-scales (synoptic and the referential intraseasonal), and the oceanic
601 variables being assumed to evolve on the slowest two time-scales (the referential intraseasonal and
602 the interannual). The leading order perturbations of each subsystem in the SInEN model are gov-
603 erned by the so-called equatorial β -plane linear long-wave equations, whose eigenvectors are the
604 anisotropic non-dispersive Kelvin and Rossby waves.

605 These wave packets may undergo their own self-mode interactions through the intrinsic advective
606 nonlinearity, and the parameterized mass and momentum fluxes can yield interactions between
607 atmospheric and oceanic wave packets through resonant triads of specific Fourier modes. There-
608 fore, our model might accommodate several dynamical mechanisms contained in other theoretical
609 models, namely: the role of the intrinsic advective nonlinearity in the generation of low frequency
610 variability (Ripa 1982, 1983a,b); the role of heating forcings in generating low-frequency variabil-

611 ity by atmospheric only wave interactions (Raupp and Silva Dias 2009, 2010); the role of oceanic
612 wave interactions with a diagnostic atmosphere in the excitation of El Niño (Battisti 1988); the
613 role of interactions of linear modes through thermodynamics in the generation of low frequency
614 variability in simple linear coupled ocean-atmosphere models (Hirst 1986; Hirst and Lau 1990),
615 and the excitation of intraseasonal variability through atmospheric equatorial synoptic-scale tur-
616 bulence (Biello and Majda 2005).

617 To illustrate the potential of the SInEn model to connect synoptic, intraseasonal and interannual
618 time-scales in the atmosphere-ocean system, we have considered the special case of a single reso-
619 nant triad involving an oceanic Kelvin wave, and an atmospheric Kelvin wave, and an atmospheric
620 $n = 1$ Rossby wave, with the modes interacting resonantly through the parameterized atmosphere-
621 ocean heat and momentum fluxes. The analytic solution of the triad equations shows that the
622 oceanic wave may act as a catalyst mode for the energy exchanges between the atmospheric waves
623 for linearized momentum flux. The oceanic Kelvin wave can also undergo significant energy mod-
624 ulations for a small but non-zero interaction coefficient, provided that this mode has a sufficiently
625 smaller initial amplitude than the atmospheric waves. The results also show that the atmospheric
626 Kelvin mode always supplies/receives energy to/from the remaining two triad components. In
627 this situation, the wave amplitude modulations occur at interannual time-scales, while the phase
628 propagation periods of the wave fields are of synoptic and intraseasonal time-scales.

629 Furthermore, the low-level spatial patterns of the triad members reinforce the potential of the
630 resonant wave interaction mechanism through atmosphere-ocean coupling fluxes to connect syn-
631 optically, intraseasonal and interannual variabilities. In fact, for the atmospheric branch of the resonant
632 triad, the low-level winds over the Pacific Ocean due to Kelvin wave activity are superimposed on
633 the pattern produced by the $n = 1$ Rossby wave activity. The phases displayed for the atmospheric
634 waves are in agreement with what is required by the amplitude modulation. Over the Pacific

635 Ocean, strong westerlies are found over both the western and eastern sides of the basin, whereas
636 moderate winds are in the central Pacific. Associated to the wind patterns, planetary scale wind
637 stress patches are found (~ 5000 km, see Fig. 9), and their tropical nature, magnitude and spa-
638 tial scale suggest that they can be associated to the MJO. In addition, the nonlinear coupling to
639 the ocean produces a synoptic scale structure for the evaporation field (~ 2000 km) that is mod-
640 ulated at planetary scales (~ 6000 km; see Fig. 9). The up and down synoptic scale pattern of
641 the evaporation field may stimulate further eastward propagation of the intraseasonal activity and
642 trigger oceanic Kelvin waves. Over the eastern Pacific Ocean, a relatively weak wind stress patch
643 is found, which is associated to westerlies and thus tends to weaken the climatological trade winds
644 and to reduce the east-west pressure gradient that maintains warm water to the west over the Pacific
645 Ocean.

646 The next step to investigate the potential of the SInEn model in a more realistic scenario should
647 be to restore the advective nonlinearities of each subsystem. The advection may couple each of
648 the individual Fourier harmonics of the resonant triad analyzed here with all the wavenumbers of
649 their corresponding wave packets. In fact, the model is weakly nonlinear in the ocean, but is fully
650 nonlinear in the atmosphere.

651 Moreover, in the atmosphere, prognostic equations for the moisture field and interaction between
652 different vertical modes should be considered in order to properly represent the cloud-radiation-
653 SST feedback, as well as the intensification of the MJO through vertical tilting of the heating (a
654 crucial aspect in multiscale models for the MJO e.g., Biello and Majda 2005; Thual and Majda
655 2016 and references therein). The variability of the solar radiative forcing may act as another
656 forcing mechanism to enhance low-frequency atmospheric variability. Recently, by including lin-
657 earized versions of some of the physical mechanisms described above, the reproduction of certain
658 observed features of the MJO has been achieved (Majda and Stechmann 2009; Liu and Wang

659 2013). In principle, we believe that the theory constructed here can be generalized to include
660 some of those more complex parameterizations described above, as far as the linear eigenvectors
661 may still constitute the leading-order solutions in the new scenarios.

662 Thus, despite the aforementioned limitations, the advantage of the SInEn model is that it can be
663 solved analytically, while keeping wave solutions in both the atmosphere and ocean. The SInEn
664 model suggests that the resonant atmosphere-ocean coupling can be a possible mechanism for the
665 generation of low frequency variability in the climate system. The various mechanisms involved,
666 which determine the conditions for the establishment of the atmosphere-ocean resonant coupling,
667 can be viewed as selection rules for the excitation of intraseasonal variability like in the MJO or
668 even slower variability like the interannual El Niño variability.

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Integrals involving Hermite functions

The evaluation of the product of modes requires the evaluation of integrals involving three or more Hermite functions. For the product of modes of the ocean and atmosphere, the meridional decays are different. The ocean is meridionally more confined than the atmosphere. In the ocean the Hermite functions are given by $\psi(y)$; whereas in the atmosphere they are given by $\psi(\varepsilon y)$ where ε is a measure of the meridional confinement. The Hermite functions ψ_m are related to the Hermite polynomials H_m by:

$$\psi_m(y) = \frac{e^{-y^2/2}}{\sqrt{2^m m! \pi^{1/2}}} H_m(y); \quad (\text{A1})$$

where $H_m(\xi) = (-1)^m e^{\xi^2} \frac{\partial^m e^{-\xi^2}}{\partial \xi^m}$. The meridional integration of the product of three Hermite functions with m, n and p nodes and different decays can be expressed by: $I_{mnp}^a = \int_{-\infty}^{+\infty} e^{-y^2/a} H_m(\delta_m y) H_n(\delta_n y) H_p(\delta_p y) dy$. A practical rule to evaluate three or more Hermite functions is to reduce the functions in pairs until just one Hermite function remains, and then use the parity condition

$$I_m^a = \int_{-\infty}^{+\infty} e^{-y^2/a} H_m(y) dy = \begin{cases} a^{1/2} 2^m \Gamma(\frac{m+1}{2}) (a-1)^{m/2} & : m \text{ pair} \\ 0 & : m \text{ odd} \end{cases} \quad (\text{A2})$$

a. Reduction in pairs for same meridional decays

In the simplest case of same meridional decays for the Hermite functions, the reduction in pairs is given according to Lord (1948); Busbridge (1948)

$$H_m(y)H_n(y) = m!n! \sum_{t=0}^{\min(m,n)} \frac{2^t}{t!(m-t)!(n-t)!} H_{m+n-2t}(y). \quad (\text{A3})$$

698 Recursive application of the Busbridge identity (A3) and the parity condition (A2) allows the
 699 computation of the turbulent fluxes yielding slow time-scale modulation.

700 *b. Reduction in pairs for different meridional decays*

701 The general case of different meridional decays can also be performed by reduction in pairs.
 702 However, the decaying parameter enters in the product, and the results depend on the decaying
 703 parameter. Let $H_n(x)$ and $H_m(y)$ represent two Hermite polynomials with different meridional
 704 decays:

$$H_n(x)H_m(y) = \sum_{s,t=0}^{[m/2],[n/2]} \frac{(-1)^{s+t} (2x)^{n-2s} (2y)^{m-2t} n!m!}{(n-2s)!s!(m-2t)!t!}, \quad (\text{A4})$$

705 where $[m/2]$ and $[n/2]$ represents the lowest nearest integer number, also known as the floor of
 706 the division $m/2$ and $n/2$, respectively. The order of the resulting polynomial is $n + m$, however,
 707 their coefficients depend on the decaying parameter.

708 Thus, for $x \rightarrow \epsilon x$, $y \rightarrow x$, $n = 2$ and $m = 3$ we have

$$H_2(x)H_3(\epsilon x) = 32\epsilon^3 x^5 - (48\epsilon + 16\epsilon^3)x^3 + 24\epsilon x \quad (\text{A5})$$

709 For $x \rightarrow \epsilon x$, $y \rightarrow x$, $n = 2$ and $m = 3$

$$H_2(\epsilon x)H_3(x) = 32\epsilon^2 x^5 - (24\epsilon^2 + 16)x^3 + 24x \quad (\text{A6})$$

710 Both results contrast with the simplest case of $x = y$, $n = 2$ and $m = 3$

$$H_2(x)H_3(x) = 32x^5 - 64x^3 + 24x \quad (\text{A7})$$

711 Thus, the evaluation of the meridional integrals will depend on both the latitudinal structure of the
 712 involved modes and on their the meridional decays.

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Symbol	Value	Parameter
ε	0.1	scale separation factor
H_a	250 m	atmosphere equivalent depth
H_o	150 m	ocean equivalent depth
$h_b = \varepsilon H_a$	25 m	boundary layer depth
l_s	15×10^6 m	zonal length scale of the Pacific Ocean
λ_a	15×10^5 m	atmospheric deformation radius
λ_o	4×10^5 m	oceanic deformation radius
F	0.1	height fluctuation allowed
$C_{a_{ref}} = C_a$	50 m s^{-1}	atmospheric gravity wave speed of the first baroclinic mode
$v_{a_{ref}} = u_a$	5.5 m s^{-1}	referential speed for slower wave modes
$C_{o_{ref}} = C_o$	2.5 m s^{-1}	oceanic gravity wave speed of the first baroclinic mode
$U = (v_{a_{ref}} + C_{o_{ref}})/2$	4.9 m s^{-1}	referential speed for the SInEN regime
ρ_a	1.1 kg m^{-3}	air density
ρ_o	$1.0 \times 10^3 \text{ kg m}^{-3}$	water density
N	10^{-2} s^{-1}	Brunt-Vaisala frequency
q_r	12 g kg^{-1}	referential moisture
\bar{T}	301 K	mean temperature
T_0	273.0 K	referential temperature
λ_p	0.9	precipitation efficiency
β	$2.29 \times 10^{-11} \text{ m}^{-1} \text{ s}^{-1}$	Coriolis meridional gradient
L_v	$2.50 \times 10^6 \text{ J kg}^{-1}$	vaporization latent heat
R_v	$461.50 \text{ J kg}^{-1} \text{ K}^{-1}$	moist air gas constant
R_d	$287.04 \text{ J kg}^{-1} \text{ K}^{-1}$	dry air gassy constant
g	9.8 m s^{-2}	vertical acceleration due to gravity
g'	$5.6 \times 10^{-2} \text{ m s}^{-2}$	reduced gravity
e_{s_0}	6.11 mb	saturation vapor pressure at T_0
C_d	1.1×10^{-3}	drag coefficient

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858 respectively. Similarly, $a(\varepsilon^0)$ and $o(\varepsilon^0)$ are the leading order atmospheric, and ocean pertur-
859 bations and $a(\varepsilon^1)$ and $o(\varepsilon^1)$ are higher order atmospheric and ocean perturbations. The term
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877 Rossby wave, and the long dashed line is the atmospheric Kelvin wave energy. The \log_{10} of
878 the energy scale indicates the exponential growth at an intraseasonal time-scale. 55

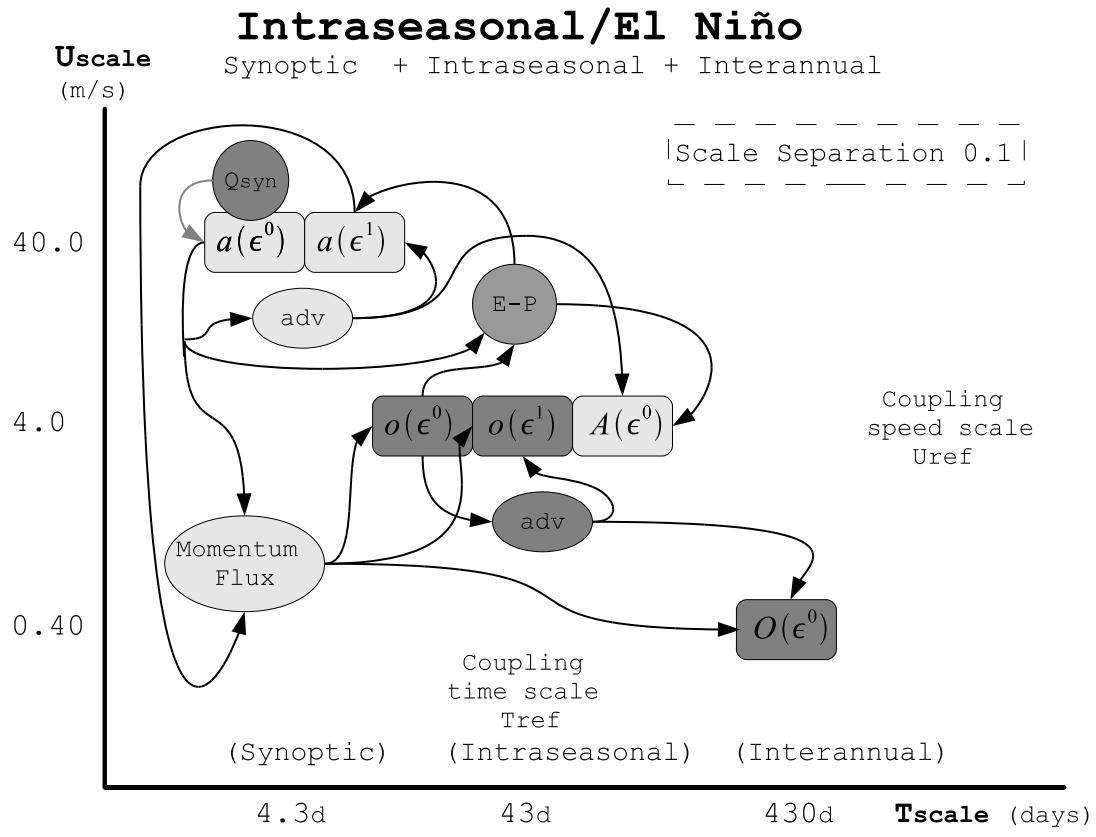
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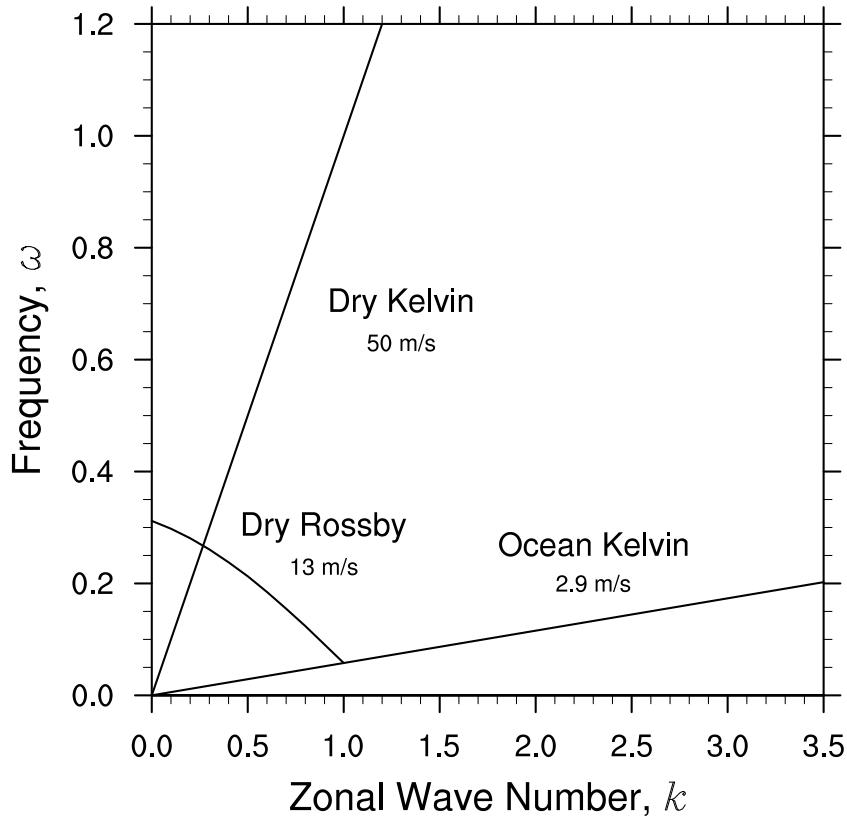
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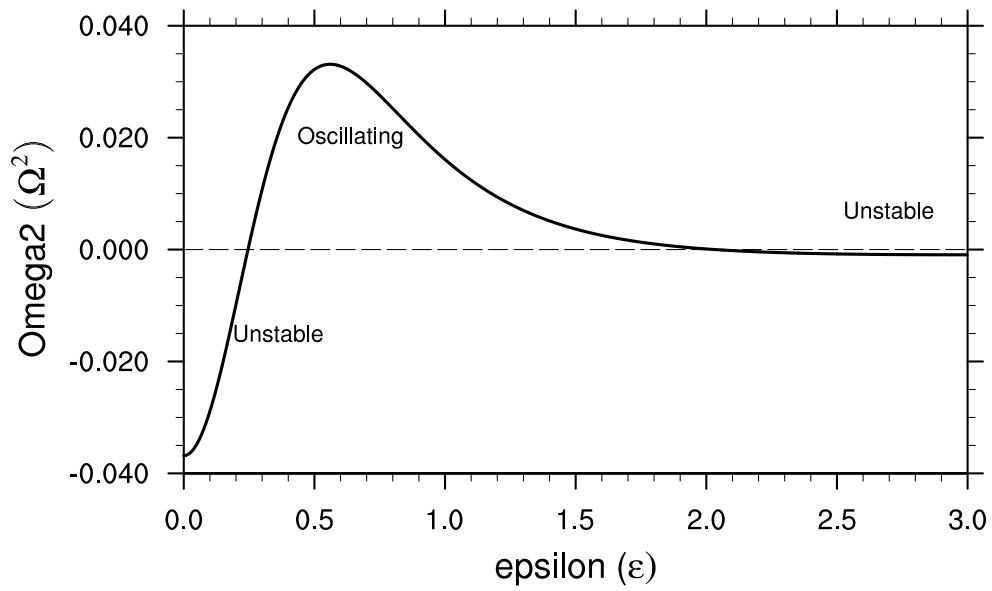
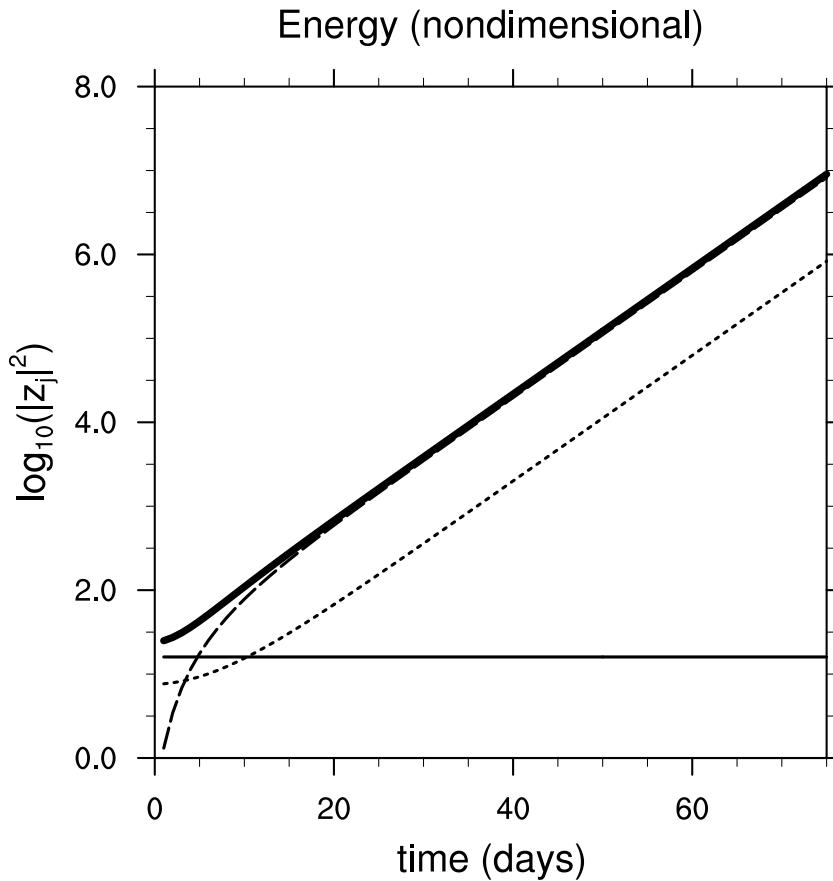
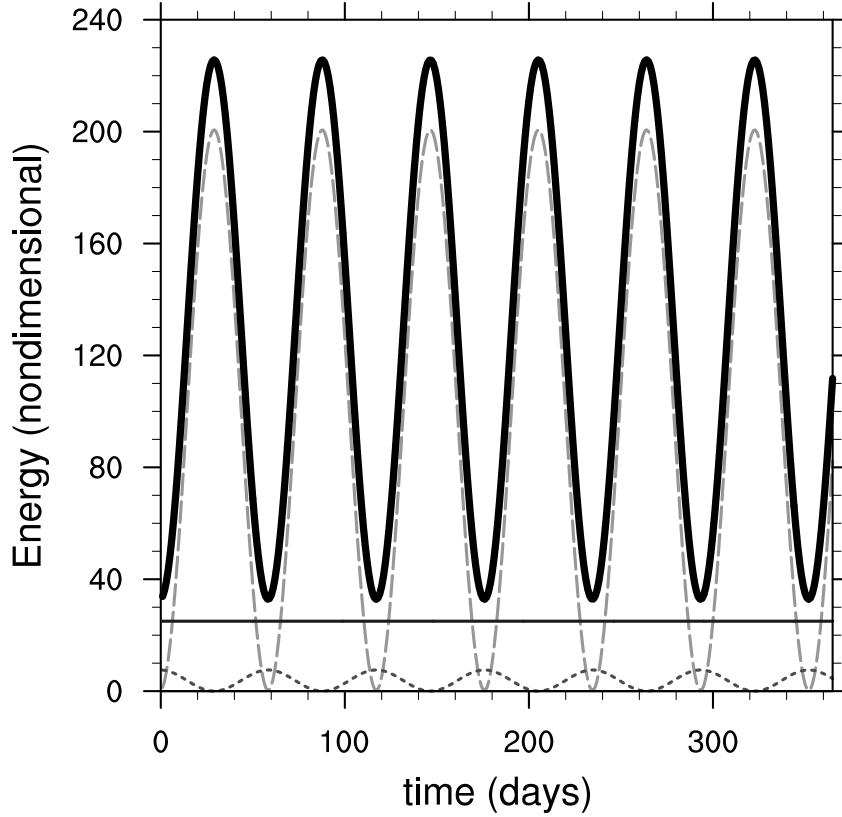


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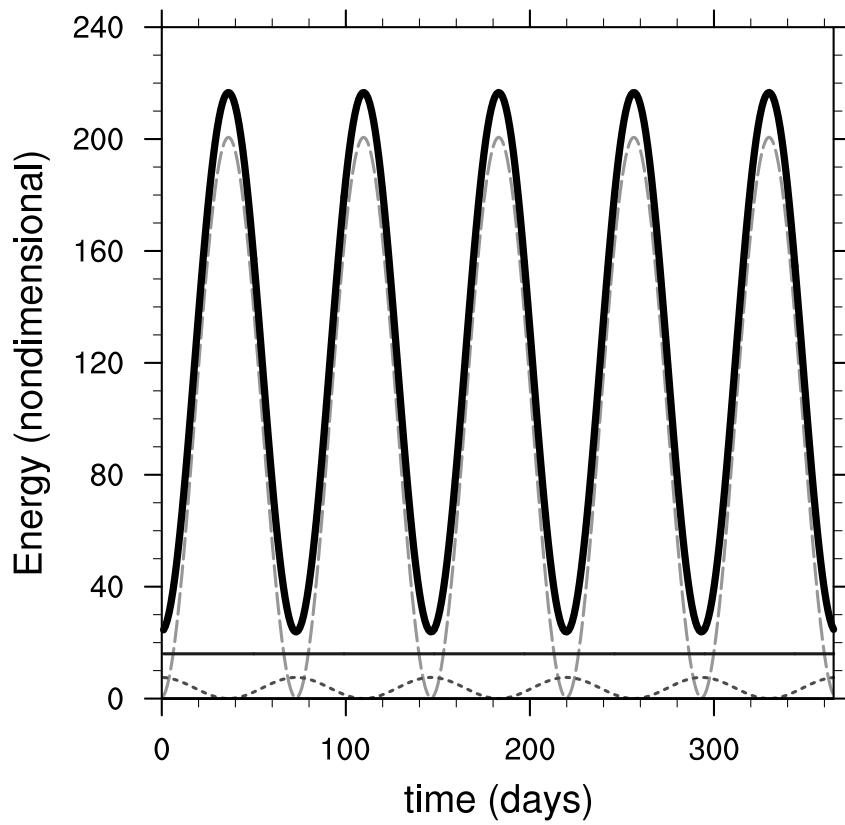
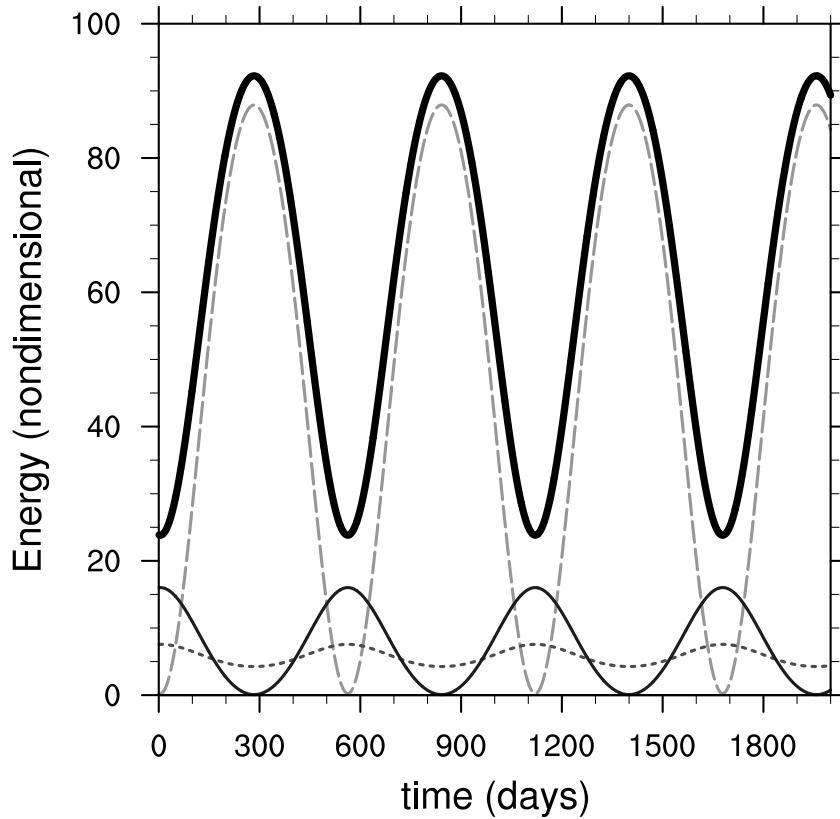
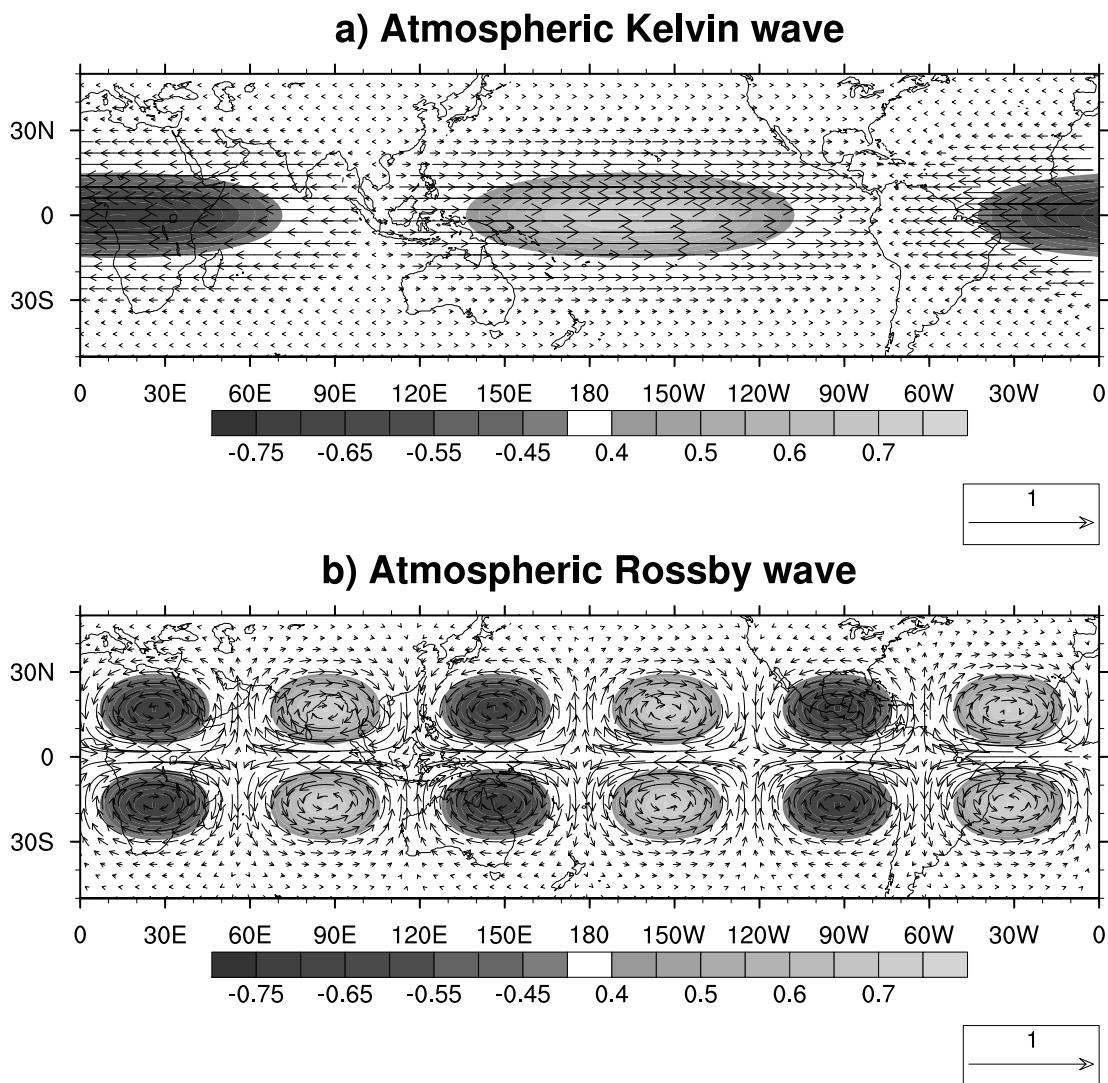


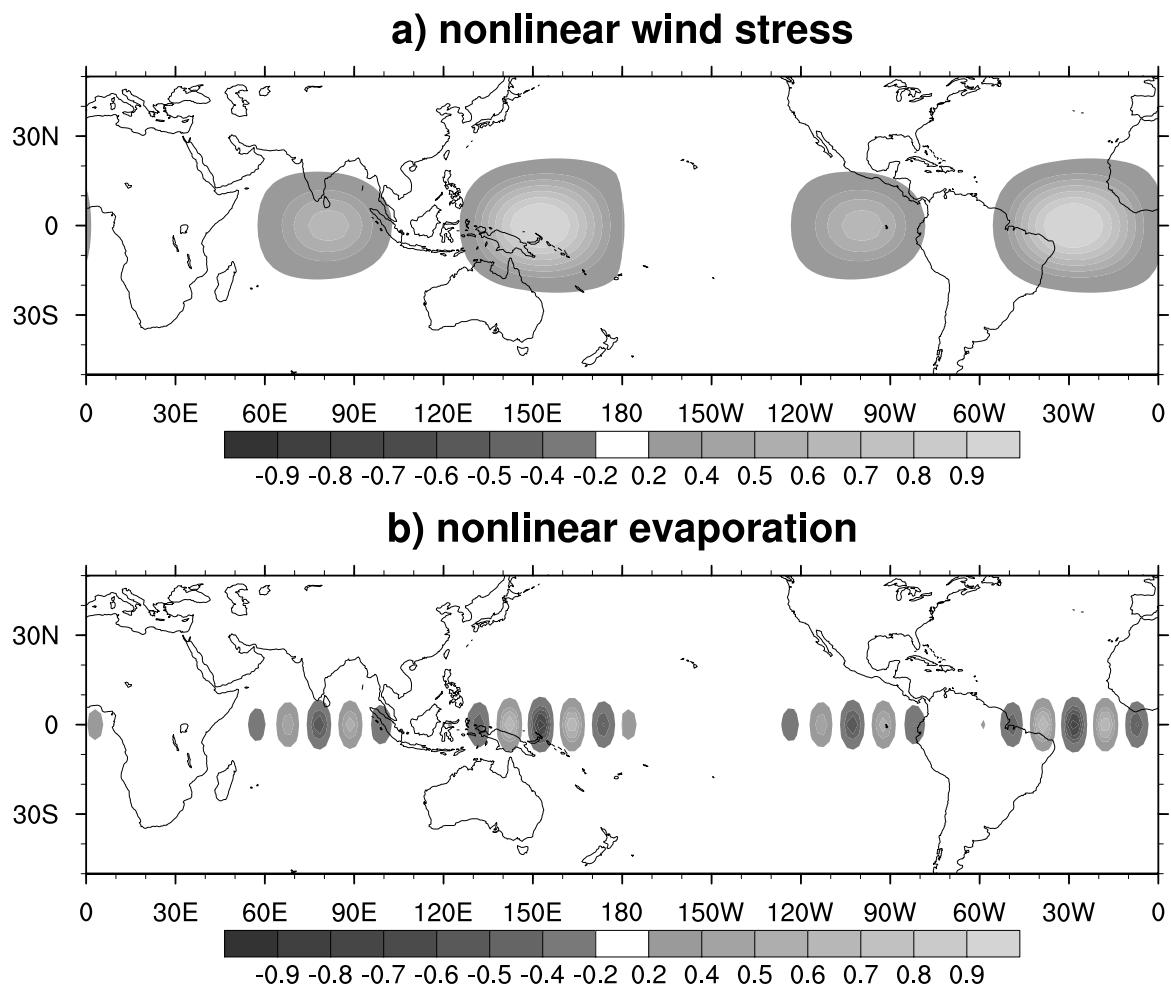
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